

MASSACHUSETTS REGISTRATION OF PROVIDER ORGANIZATIONS PROGRAM

2024 DATA SUBMISSION MANUAL



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GLOSSARY OF TERMS

The terms below have been defined in M.G.L. c. 6D, § 1, M.G.L. c. 12C, § 1, 958 CMR 6.00, *Registration of Provider Organizations*, 957 CMR 11.00, *Registered Provider Organizations Reporting Requirements*, or are defined for the first time in the Data Submission Manual (DSM). Defined terms are capitalized throughout the DSM.

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| Acute Hospital | The teaching hospital of the University of Massachusetts Medical School and any hospital licensed under M.G.L. c. 111, § 51 and which contains a majority of medical-surgical, pediatric, obstetric, and maternity beds, as defined by the Department of Public Health. |
| Advanced Care Settings | Sites at which more complex care can be provided for one or more clinical services. |
| Audited Financial Statements | A complete set of financial statements of an Entity, including the notes to the financial statements, which are subject to an independent audit in accordance with Generally Accepted Auditing Standards (GAAS). The independent auditor issues an opinion as to whether or not the accompanying financial statements are presented fairly in accordance with Generally Accepted Accounting Principles (GAAP). |
| Campus | The physical area immediately adjacent to the provider's main buildings and other areas and structures that are not strictly contiguous to the main buildings but are located within 250 yards of the main buildings. |
| Carrier | An insurer licensed or otherwise authorized to transact accident or health insurance under M.G.L. c. 175; a nonprofit hospital service corporation organized under M.G.L. c. 176A; a nonprofit medical service corporation organized under M.G.L. c. 176B; a health maintenance organization organized under M.G.L. c. 176G; and an organization entering into a preferred provider arrangement under M.G.L. c. 176I, but not including an employer purchasing coverage or acting on behalf of its employees or the employees of one or more subsidiaries or affiliated corporations of the employer; provided that, unless otherwise noted, the term "Carrier" shall not include any Entity to the extent it offers a policy, certificate or contract that provides coverage solely for dental care services or vision care services. |
| Clinical Affiliation | Any relationship between a Provider or Provider Organization and another Entity for the purpose of increasing the level of collaboration in the provision of Health Care Services, including, but not limited to, sharing of physician resources in hospital or other ambulatory settings, co-branding, expedited transfers to Advanced Care Settings, provision of inpatient consultation coverage or call coverage, enhanced electronic access and communication, co-located services, provision of capital for service site development, Joint Training Programs, video technology to increase access to expert resources, and sharing of hospitalists or intensivists. |

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| Community Advisory Boards | Committees, boards, or other oversight and governance bodies engaging the community of a Provider Organization, including, but not limited to patient and family advisory councils as defined in 105 CMR 130.1801 or community benefits advisory boards. |
| Consolidating Schedule | A document that accompanies the consolidated Audited Financial Statements, which includes detailed financial statements of subsidiary hospital(s) and the other organizations that comprise the consolidated entity. |
| Contracting Affiliation | Any relationship between a Provider Organization and another Provider or Provider Organization for the purposes of negotiating, representing, or otherwise acting to establish contracts for the payment of Health Care Services, including for payment rates, incentives, and operating terms, with a Payer or Third-Party Administrator. |
| Contracting Entity | An Entity that negotiates, represents, or otherwise acts to establish contracts with Payers or Third-Party Administrators for the payment of Health Care Services. |
| Corporate Affiliation | Any relationship between two Entities that reflects, directly or indirectly, a partial or complete controlling interest or partial or complete common control. |
| Corporately Affiliated Contracting Entity | A Contracting Entity with which the Provider Organization has a Corporate Affiliation. |
| Entity | A corporation, sole proprietorship, partnership, limited liability company, trust, foundation, or any other organization formed for the purpose of carrying on a commercial or charitable enterprise. |
| Facility | A licensed institution providing Health Care Services or a health care setting, including, but not limited to, hospitals and other licensed inpatient centers, ambulatory surgical or treatment centers, skilled nursing centers, residential treatment centers, diagnostic, laboratory and imaging centers, and rehabilitation and other therapeutic health settings. |
| Fiscal Year | The twelve-month period during which a Provider Organization keeps its accounts and which is identified by the calendar year in which it ends. |
| Global Payment | A type of payment arrangement between payers and Providers that establishes a spending target for a comprehensive set of Health Care Services to be delivered to a specified population during a defined time period. |
| Health Care Professional | A physician or other health care practitioner licensed, accredited, or certified to perform specified Health Care Services consistent with law. |

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| Health Care Provider or Provider | A provider of Health Care Services or any other person or organization that furnishes, bills, or is paid for Health Care Services delivery in the normal course of business or any person, corporation, partnership, governmental unit, state institution, or any other entity qualified under the laws of the commonwealth to perform or provide Health Care Services. |
| Health Care Services | Supplies, care, and services of medical, Behavioral Health, surgical, optometric, dental, podiatric, chiropractic, therapeutic, diagnostic, preventative, rehabilitative, supportive or geriatric nature including, but not limited to, inpatient and outpatient acute hospital care and services; services provided by a community health center, home health, and hospice care provider, or by a sanatorium, as included in the definition of “hospital” in Title XVIII of the federal Social Security Act, and treatment and care compatible with such services, or by a health maintenance organization. |
| Joint Training Programs | A training program, including but not limited to student education and graduate medical education, jointly sponsored by one or more Providers or Provider Organizations. |
| Local Practice Group | A group of Health Care Professionals that functions as a subgroup of a Provider Organization (i.e., groups broken out from the larger Provider Organization for purposes of data reporting and market comparisons). |
| Massachusetts Registration of Provider Organizations Program or MA-RPO Program | The Commonwealth program, jointly administered by the Commission and the Center, pursuant to M.G.L. c. 6D, § 11 and § 12 and M.G.L. c. 12C. |
| Patient Panel | The total number of individual patients seen over the course of the most recent complete 36-month period. |
| Payer | Any entity, other than an individual, that pays providers for the provision of health care services; provided, that Payer shall include both governmental and private entities; provided further, that Payer shall not include excluded ERISA plans. |
| Provider Organization or Health System or System | Any corporation, partnership, business trust, association or organized group of persons, which is in the business of health care delivery or management, whether incorporated or not that represents one or more Health Care Providers in contracting with Carriers or Third-Party Administrators for the payment of Health Care Services; provided that the definition shall include, but not be limited to, physician organizations, physician-hospital organizations, independent practice associations, Provider networks, accountable care organizations, and any other organization that contracts with Carriers or Third-Party Administrators for payment for Health Care Services. |
| Risk-Bearing Provider Organization | An Entity subject to the requirements of the Massachusetts Division of Insurance pursuant to M.G.L. c. 176T and any regulations promulgated thereunder. |

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| Third-Party Administrator | An Entity that administers payments for Health Care Services on behalf of a client in exchange for an administrative fee. |
| Third-Party Contracting Entity | A Contracting Entity with which the Provider Organization does not have a Corporate Affiliation and which establishes at least one contract with Payers or Third-Party Administrators on behalf of at least one of the Provider Organization's corporate affiliates. |

ACRONYMS AND ABBREVIATIONS

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| ACO | Accountable Care Organization |
| AGO | Massachusetts Attorney General's Office |
| APM | Alternative Payment Method |
| CHIA | Center for Health Information and Analysis |
| CMR | Code of Massachusetts Regulations |
| CMS | Centers for Medicare and Medicaid Services |
| D/B/A | Doing-Business-As |
| DOI | Division of Insurance |
| DRG | Diagnosis Related Groups |
| DSM | Data Submission Manual |
| EHR | Electronic Health Record |
| EIN | Employer Identification Number |
| FFS | Fee-for-Service |
| GAAP | Generally Accepted Accounting Principles |
| GAAS | Generally Accepted Auditing Standards |
| HPC | Health Policy Commission |
| IPA | Independent Practice Association |
| IRS | Internal Revenue Service |
| M.G.L. | Massachusetts General Laws |
| MA-RPO | Massachusetts Registration of Provider Organizations |
| MCO | Managed Care Organization |
| NPI | National Provider Identifier |
| P4P | Pay for Performance |
| PACE | Program for All Inclusive Care for the Elderly |
| PCC | Primary Care Clinician Plan |
| PHO | Physician-Hospital Organization |
| PPE | Property, Plant, and Equipment |
| SAS | Substance Abuse Services |
| SCO | Senior Care Options |
| TPA | Third-Party Administrator |

GENERAL INSTRUCTIONS

The instructions and data requirements reflected in the 2024 DSM largely have not changed since the previous version of the DSM. The only changes in this DSM are that the time periods in each file have been advanced and the Financial Statements File has been updated to be consistent with updates CHIA made to its hospital and health system financial performance data reporting.

The Massachusetts Registration of Provider Organizations (MA-RPO) Program is releasing this Data Submission Manual (DSM) for the 2024 filing. The DSM provides the specifications for registration that Provider Organizations subject to regulation [958 CMR 6.00](#), *Registration of Provider Organizations* and [957 CMR 11.00](#), *Registered Provider Organizations Reporting Requirements* must follow. Provider Organizations must complete their 2024 filing by **Monday September 30, 2024 at 5:00 PM**. Provider Organizations that are subject to 958 CMR 6.00 and 957 CMR 11.00 and have not previously submitted registration materials should contact program staff at HPC-RPO@mass.gov for additional instructions.

In the 2024 filing, Provider Organizations will be required to review the information submitted as part of the previous year's filing, confirm its accuracy, and make any updates, as needed. The information that Provider Organizations submitted in their most recent filing will be prepopulated in the online submission platform, as noted throughout this DSM. **All data submitted to the MA-RPO Program are public.**

After the Provider Organization has completed the 2024 filing, the MA-RPO Program will determine whether the submission is complete or whether additional information is required and notify the Provider Organization as appropriate.

Timing, Accuracy, and Off-cycle Updates

Provider Organizations are required to provide information that is accurate as of a specific date, as further specified in the DSM. Any information submitted in 2023 that is no longer accurate must be updated in the 2024 filing. Please note that if the Provider Organization plans to make a change which will go into effect after the close of the 2024 filing, the Provider Organization will not be required to submit updated information to the MA-RPO Program in the form of an off-cycle update. The Provider Organization will submit updated information in the following year's filing.

The requirement to register with the MA-RPO Program is determined as of January 1, 2024. If a Provider Organization meets a registration threshold as of January 1, 2024, it is required to submit a 2024 filing regardless of whether it meets the registration threshold on the filing submission date.

Please note, this section only relates to the Provider Organization's responsibility to submit information to the MA-RPO Program. Provider Organizations must submit all other appropriate notices and documents to the Health Policy Commission (HPC), Center for Health Information and Analysis (CHIA), DPH, and other state agencies under the relevant statutory and regulatory requirements.

How to Read the DSM

The information that Provider Organizations must submit has been organized into nine files: the Background Information file, the Corporate Affiliations file, the Contracting Affiliations file, the Contracting Entity file, the Facilities file, the Physician Roster file, the Clinical Affiliations file, the Financial Statements file, and the Payer Mix file. Each file contains a series of data elements that the

Provider Organization must submit. Data elements that have undergone significant edits since the previous filing have been highlighted in the DSM. The DSM includes the following key information:

2024 Updates: Included at the beginning of each file, this section describes any general changes to the structure or content of the file that have been made since the previous filing.

How to Update: Included at the beginning of each file, this section provides instructions on how to review and update previously submitted data.

Timing: Included at the beginning of each file, this section specifies the time period for which the Provider Organization will complete the file.

Out-of-State Reporting: Included at the beginning of each file, this section provides instructions on which out-of-state entities to report in the file.

Data Element Number: Each data element has been assigned a reference number (e.g., RPO-01). The reference number is used to identify the data element in the online submission platform and the MA-RPO-issued Microsoft Excel templates. In instances where the MA-RPO Program has added a data element to a file, the data element has been given a unique reference number. Data elements that were completed as part of the previous filing have the same data element number. Missing data element numbers indicate that a question has been removed.

Data Element Name: Each data element has been assigned a name (e.g., Legal Name of Provider Organization). The name is used to identify the data element in the online submission platform and the MA-RPO-issued Microsoft Excel templates.

Instructions: Each data element is accompanied by specific instructions and question text.

Required: The Required column indicates whether the field must be completed or if it may be left blank. For example, RPO-04: Address Line 2 is not a required data element because not all Provider Organizations have a second address line. However, each Provider Organization that does have a second address line must complete this question. Some data elements are only required if the user selects a certain answer to a previous question. These requirements are also described in the Required column.

Format: The Format column indicates whether the Provider Organization's answer to each data element should be in text, integer, checkbox, or file attachment format. The MA-RPO Program has noted if the user can select more than one answer to a question. In addition, the MA-RPO Program has identified if the user can enter multiple responses to a single question with "Repeat as necessary" in the Format column.

How to Submit Data

Provider Organizations will use the [online submission platform](#) to submit 2024 materials to the MA-RPO Program. Information submitted in the most recent filing will be prepopulated in the online submission platform and each primary reporter will receive an e-mail notification when the Provider Organization's materials are available for the 2024 filing period. The primary reporter will be able to edit the prepopulated information, including adding or deleting information, as appropriate. For Entities where a new applicable question has been added, the Entity will have incomplete data and will appear in grey rather than green in the online submission platform. The primary reporter may enter new information or update existing information in one of two ways: manually entering data by typing or selecting the proper response to a question or, for select files, completing the applicable Microsoft Excel templates and uploading the finalized template into the online submission platform.

Certain information is submitted as file attachments in the online submission platform, including:

- Physician Roster file(s)
- Financial Statements file(s), including Audited Financial Statements, unless the Provider Organization's most recent Audited Financial Statements are already on file with and available from CHIA, DOI, or the AGO
- Payer Mix file(s)
- Corporate Organizational Chart
- Affidavit of Truthfulness and Proper Submission
- Qualitative Description of Out-of-State Entities

The Provider Organization will complete the Physician Roster file, Financial Statements file, and Payer Mix file using MA-RPO-issued Microsoft Excel templates. These files are not editable from within the online submission platform. If the Provider Organization needs to make an edit to any of these files, the primary reporter must make the edit within the Microsoft Excel template and upload the revised file attachment to the online submission platform.

The MA-RPO Program has developed a [User Manual](#) with detailed instructions on the use of the online submission platform. Program staff encourages all primary reporters that have questions about how to use the online system or how to complete the Microsoft Excel templates to contact program staff for assistance.

Abbreviated Filings

Program staff worked with Provider Organizations during the previous filing period to determine if they qualified to file an abbreviated filing and will contact Provider Organizations prior to the 2024 filing period to determine if they still qualify to file an abbreviated filing. Provider Organizations that are approved to file an abbreviated filing are not required to submit a Physician Roster file as part of their 2024 filing. The Third-Party Contracting Entity that the Provider Organization has identified as contracting on behalf of the applicant's physicians will submit the Physician Roster file on behalf of the abbreviated applicant. A Provider Organization that believes that it may qualify for an abbreviated filing should contact program staff.

Out-of-State Reporting Requirements

All Provider Organizations are required to submit information in each file only for the out-of-state entities specified for that file. Provider Organizations are also required to submit a brief qualitative description of their out-of-state Facilities and physicians. Please see the Out-of-State Reporting instructions at the beginning of each file and the Qualitative Description of Out-of-State Entities note in [Section J: Attachments](#).

Training and Educational Opportunities

Program staff will schedule one-on-one training sessions on the process and content of the 2024 filing with any Provider Organizations that request one. Please email the staff contact listed on your pre-filing checklist if you would like to schedule a call or meeting to discuss any questions on how the filing requirements apply to your organization.

Contact Information

Provider Organizations may send any questions to MA-RPO Program staff at HPC-RPO@mass.gov. Provider Organizations should also review the [Frequently Asked Questions](#) section of the program website, which includes answers to questions from previous filings and will be updated to reflect questions from the 2024 filing, as necessary. Primary reporters will be notified by email to the extent any new guidance is posted.

A. Background Information File

The table below includes the data elements that Provider Organizations will provide about the Provider Organization named in RPO-01. The Provider Organization will answer each question in the Background Information file once. Each question refers to the legal Entity named in RPO-01, except where otherwise noted.

2024 Updates: None.

How to Update: The Background Information file will be prepopulated with the data provided by the Provider Organization in the previous filing. A Provider Organization may edit this information, as necessary, directly in the online submission platform.

Timing: The Background Information file must be accurate as of January 1, 2024 except for the Provider Organization name and address and the primary reporter and secondary reporter contact information. The Provider Organization name and address and the primary reporter and secondary reporter contact information should be accurate as of the date of submission.

| Background Information File | | | | |
|-----------------------------|---|--|----------|---------------------------|
| | Name | Instructions | Required | Format |
| RPO-01 | Legal Name of Provider Organization | Enter the legal name of the Provider Organization. | Yes | Text |
| RPO-02 | Doing Business As (D/B/A) or Alternate Name(s) of Provider Organization | Enter all commonly used names by which the Provider Organization named in RPO-01 is known, including any Doing-Business-As names for which the Entity has filed a D/B/A certificate as required by M.G.L. c. 110, § 5 or the applicable laws of another state. | No | Text; Repeat as necessary |
| RPO-03 | Address Line 1 | Enter Line 1 of the primary business address for the Provider Organization named in RPO-01. | Yes | Text |
| RPO-04 | Address Line 2 | Enter Line 2 of the primary business address for the Provider Organization named in RPO-01. | No | Text |
| RPO-05 | Address Line 3 | Enter Line 3 of the primary business address for the Provider Organization named in RPO-01. | No | Text |
| RPO-06 | City | Enter the city of the primary business address for the Provider Organization named in RPO-01. | Yes | Text |

| Background Information File | | | | |
|-----------------------------|---------------------------------|---|----------|---------|
| | Name | Instructions | Required | Format |
| RPO-07 | State | Enter the state of the primary business address for the Provider Organization named in RPO-01. | Yes | Text |
| RPO-08 | Zip Code | Enter the 5-digit zip code of the primary business address for the Provider Organization named in RPO-01. | Yes | Integer |
| RPO-09 | Zip+4 Code | Enter the 4-digit zip code extension of the primary business address for the Provider Organization named in RPO-01. | Yes | Integer |
| RPO-10 | Phone Number | Enter the primary business phone number for the Provider Organization named in RPO-01. | Yes | Integer |
| RPO-11 | Web Address | Enter the web address for the Provider Organization. | No | Text |
| RPO-12 | Primary Reporter Last Name | Enter the last name of the primary reporter. This is the individual who will be responsible for submitting the filing and who will receive all communications, including filing instructions and status alerts, from the MA-RPO Program. The primary reporter does not have to be an employee of the Provider Organization named in RPO-01. | Yes | Text |
| RPO-13 | Primary Reporter First Name | Enter the first name of the primary reporter. | Yes | Text |
| RPO-14 | Primary Reporter Middle Initial | Enter the middle initial of the primary reporter. | Yes | Text |
| RPO-15 | Primary Reporter Address Line 1 | Enter Line 1 of the primary physical business address (work location) for the primary reporter. | Yes | Text |
| RPO-16 | Primary Reporter Address Line 2 | Enter Line 2 of the primary business address for the primary reporter. | No | Text |
| RPO-17 | Primary Reporter Address Line 3 | Enter Line 3 of the primary business address for the primary reporter. | No | Text |
| RPO-18 | Primary Reporter City | Enter the city of the primary business address for the primary reporter. | Yes | Text |

| Background Information File | | | | |
|-----------------------------|---|---|----------|---------|
| | Name | Instructions | Required | Format |
| RPO-19 | Primary Reporter State | Enter the state of the primary business address for the primary reporter. | Yes | Text |
| RPO-20 | Primary Reporter Zip Code | Enter the 5-digit zip code of the primary business address for the primary reporter. | Yes | Integer |
| RPO-21 | Primary Reporter Zip +4 Code | Enter the 4-digit zip code extension of the primary business address for the primary reporter. | Yes | Integer |
| RPO-22 | Primary Reporter Phone Number | Enter the primary business phone number for the primary reporter. | Yes | Integer |
| RPO-23 | Primary Reporter Phone Number Extension | Enter the primary business phone number extension for the primary reporter, if any. | No | Integer |
| RPO-24 | Primary Reporter E-mail Address | Enter the primary business e-mail address for the primary reporter. | Yes | Text |
| RPO-25 | Secondary Reporter Last Name | Enter the last name of the second person that the Provider Organization has designated as its contact for the MA-RPO Program. This secondary reporter will be added to the MA-RPO Program contact list and will receive all communications about the MA-RPO Program. The secondary reporter does not have to be an employee of the Provider Organization named in RPO-01. | Yes | Text |
| RPO-26 | Secondary Reporter First Name | Enter the first name of the secondary reporter. | Yes | Text |
| RPO-27 | Secondary Reporter Middle Initial | Enter the middle initial of the secondary reporter. | Yes | Text |
| RPO-28 | Secondary Reporter Phone Number | Enter the primary business phone number for the secondary reporter. | Yes | Integer |
| RPO-29 | Secondary Reporter Phone Number Extension | Enter the primary business phone number extension for the secondary reporter, if any. | No | Integer |

| Background Information File | | | | |
|-----------------------------|---|--|----------|-------------------------|
| | Name | Instructions | Required | Format |
| RPO-30 | Secondary Reporter E-mail Address | Enter the primary business e-mail address for the secondary reporter. | Yes | Text |
| RPO-31 | Provider Organization Employer Identification Number (EIN) | Enter the 9-digit Employer Identification Number (EIN) for the Provider Organization named in RPO-01. | Yes | Integer |
| RPO-32 | Provider Organization Tax-Exempt Status | Does the IRS recognize the Provider Organization named in RPO-01 as tax-exempt? <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |
| RPO-33 | Provider Organization's Corporate Parent | Enter the legal name of the corporate parent of the Provider Organization named in RPO-01, if any. (See Note RPO-33 on page 18) | No | Text |
| RPO-34 | Description of the Provider Organization and its Corporate Affiliates | Briefly describe the Provider Organization named in RPO-01 and the types of services that it provides in conjunction with its corporate affiliates. | Yes | Text |
| RPO-35 | Registration Threshold: Net Patient Service Revenue | Does the Provider Organization, including its corporate affiliates, collectively receive \$25,000,000 or more in annual net patient service revenue from Carriers or Third-Party Administrators (TPAs), or represent one or more Providers or Provider Organizations that collectively receive \$25,000,000 or more in annual net patient service revenue from Carriers or TPAs? For the purposes of this calculation, net patient service revenue is equal to the total revenue received in a Fiscal Year for patient care from any Carrier or Third-Party Administrator net of any contractual adjustments, using best available data. <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |
| RPO-36 | Registration Threshold: Patient Panel | Does the Provider Organization, including its corporate affiliates, have a Patient Panel greater than 15,000 patients or represent one or more Providers or Provider Organizations that have a Patient Panel greater than 15,000 patients? <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |

| Background Information File | | | | |
|-----------------------------|--|--|--------------------------------------|---------------------------|
| | Name | Instructions | Required | Format |
| RPO-37 | Registration Threshold: Risk-Bearing Provider Organization | Is the Provider Organization named in RPO-01, or any of its corporate affiliates, required to obtain a Risk Certificate or risk certificate waiver from the Division of Insurance, or does the Entity named in RPO-01 represent one or more organizations that is required to obtain a Risk Certificate or risk certificate waiver? <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |
| RPO-38 | Intent to File Abbreviated Application | If the Provider Organization or any of its corporate affiliates is a contracting affiliate of another Provider Organization, do you intend to submit an abbreviated filing, as allowed under 958 CMR 6.03? <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |
| RPO-39 | Legal Name of Third-Party Contracting Entity(ies) | Enter the legal name of each Third-Party Contracting Entity that establishes contracts on behalf of the Provider Organization named in RPO-01 or any of its corporate affiliates whose filing will supplement your abbreviated filing. | If the user selected "Yes" in RPO-38 | Text; Repeat as necessary |
| RPO-40 | Description of Community Advisory Boards | Briefly describe any Community Advisory Boards that are affiliated with the Provider Organization named in RPO-01 or its corporate affiliates, or select the checkbox below. (See Note RPO-40 on page 18) <input type="checkbox"/> My organization does not have any Community Advisory Boards. | Yes | Text or Checkbox |
| RPO-41 | Governance Filing Requirements | Select the option below that is true for your organization. <input type="checkbox"/> The Provider Organization named in RPO-01 and each of its corporate affiliates is in compliance with all applicable registration and filing requirements for the Corporations Division of the Office of the Secretary of the Commonwealth of Massachusetts and the Non-profit/Charities Division of the Office of the Attorney General of Massachusetts. <input type="checkbox"/> The Provider Organization named in RPO-01 and each of its corporate affiliates is not in compliance with all applicable registration and filing requirements for the Corporations Division of the Office of the Secretary of the Commonwealth of Massachusetts and the Non-profit/Charities Division of the Office of the Attorney General of Massachusetts. | Yes | Checkbox, Single Answer |

| Background Information File | | | | |
|-----------------------------|------------------|--|----------|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-42 | Applicable Files | <p>Select the options below that are true for your organization.</p> <p><input type="checkbox"/> My organization does not have any reportable Contracting Affiliations.</p> <p><input type="checkbox"/> My organization does not own or control any licensed Facilities, directly or indirectly.</p> <p><input type="checkbox"/> My organization has been approved by the MA-RPO Program to submit an abbreviated filing, and is therefore not required to submit a Physician Roster.</p> <p><input type="checkbox"/> My organization does not have any reportable Clinical Affiliations.</p> <p><input type="checkbox"/> My organization submits annual financial statements to CHIA pursuant to 957 CMR 9.00, and the most recent standardized financial statements are available from CHIA.</p> <p><input type="checkbox"/> My organization does not have any corporate affiliates for which it is required to complete a Payer Mix file.</p> | Yes | Checkbox, Multiple Answers |

Notes to the Background Information File

RPO-33: Provider Organization's Corporate Parent

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Entities are required to register at the uppermost level of their corporate structure, provided that the primary business purpose of this uppermost corporate Entity is health care delivery or management. The Provider Organization will enter “N/A” in response to RPO-33 if the Provider Organization named in RPO-01 is not owned or controlled by any other Entity. If the Provider Organization named in RPO-01 is owned or controlled, whether fully or partially, by an Entity whose primary business purpose is not health care delivery or management (e.g., a venture capital firm), the Provider Organization will list that Entity in RPO-33.

RPO-40: Description of Community Advisory Boards

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The Provider Organization must provide a brief description of its Community Advisory Boards in RPO-40. In responding to this question, Provider Organizations should include not only advisory boards formed by or operating under the Entity named in RPO-01, but also by any of the Provider Organization's corporate affiliates.

The MA-RPO Program is aware that not all Provider Organizations are required to have Community Advisory Boards. If the Provider Organization does not have any Community Advisory Boards, the Provider Organization can select the checkbox that states “My organization does not have any Community Advisory Boards.”

Many organizations submit reports about their Community Advisory Boards to the AGO. If the Provider Organization has provided information about its Community Advisory Boards to the AGO, the Provider Organization can state that the relevant information is available through the AGO. The Provider Organization should provide a description of each Community Advisory Board for which information is not available through the AGO.

B. Corporate Affiliations File

The table below includes the data elements that the Provider Organization will provide about each Entity with which it has a Corporate Affiliation (a corporate affiliate). A Corporate Affiliation is any relationship between two Entities that reflects, directly or indirectly, a partial or complete controlling interest or partial or complete common control. Affiliations in which the Provider Organization has a minority ownership or controlling interest and affiliations in which the Provider Organization has an indirect ownership or controlling interest should be reported in the Corporate Affiliations file. Provider Organizations that have questions about whether an affiliation is reportable should contact MA-RPO Program staff at HPC-RPO@mass.gov.

The online submission platform uses the information reported in the Corporate Affiliations file to populate other questions on other tabs. Therefore, the MA-RPO Program recommends completing any updates to the Corporate Affiliations file before reviewing or entering information about the Provider Organization's other relationships. In addition, responses to data element RPO-48 are used to prepopulate answer options in RPO-49 and RPO-63; **users should click "save" after making updates to data element RPO-48 so that answer options populate appropriately in these subsequent data elements.**

2024 Updates: None

How to Update: The Corporate Affiliations file will be prepopulated with the data provided by the Provider Organization in the previous filing. Provider Organizations may edit corporate affiliate data directly in the online submission platform.

Timing: The Corporate Affiliations file must reflect all of the Provider Organization's Corporate Affiliations as of January 1, 2024.

Out-of-State Reporting: Provider Organizations are required to report each corporate affiliate that meets at least one of the criteria below (reportable corporate affiliates). The Provider Organization must include each reportable corporate affiliate in its Corporate Affiliations file and on the corporate organizational chart. Provider Organizations are not required to report corporate affiliates that do not fall into any of the categories below:

1. Any corporate affiliate that is physically located in Massachusetts or that is incorporated or doing business in Massachusetts.
2. Any corporate affiliate that is located outside of Massachusetts but which provides one or more of the following services to a corporate affiliate located in Massachusetts: legal, financial, fundraising, educational, IT, management, quality improvement, purchasing, or insurance coverage.
3. All entities other than the corporate parent that own or control a reportable corporate affiliate that are not otherwise reported pursuant to these guidelines (e.g., holding companies). This will ensure the MA-RPO Program can understand each reportable corporate affiliate's relationship to the corporate parent.

Corporate Affiliations File

| | Name | Instructions | Required | Format |
|---------------|--|--|----------|------------------------------|
| RPO-43 | Legal Name of Corporate Affiliate | Enter the legal name of the corporate affiliate. | Yes | Text |
| RPO-44 | Corporate Affiliate D/B/A or Alternate Name(s) | Enter all commonly used names by which the corporate affiliate is known, including any Doing-Business-As names for which the corporate affiliate has filed a D/B/A certificate as required by M.G.L. c. 110, § 5 or the applicable laws of another state. | No | Text; Repeat as necessary |
| RPO-45 | Corporate Affiliate Employer Identification Number (EIN) | Enter the 9-digit Employer Identification Number (EIN) for the corporate affiliate, or select N/A if the corporate affiliate does not have an EIN. | Yes | Integer |
| RPO-46 | Corporate Affiliate Zip Code | Enter the 5-digit zip code of the primary physical address for the corporate affiliate, or select N/A if the corporate affiliate does not have a zip code. | Yes | Integer |
| RPO-47 | Corporate Affiliate Tax-Exempt Status | Does the IRS recognize the corporate affiliate as tax-exempt? <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |
| RPO-48 | Contracting Entity (Contractor) Status | Does the corporate affiliate establish contracts with Payers or TPAs on behalf of one or more Health Care Professionals or Providers, which may include itself? (See Figure 1 on page 26 and Note RPO-48 on page 26) Provider Organizations should select “No” if the corporate affiliate signs the MassHealth RFA and/or enrolls in traditional Medicare, but does not establish any other contracts with commercial or government payers. Provider Organizations should click “save” in the online submission platform after entering or updating data element RPO-48 so that answer options populate accordingly in subsequent data elements. <input type="checkbox"/> Yes <input type="checkbox"/> No | Yes | Checkbox, Single Answer |

Corporate Affiliations File

| | Name | Instructions | Required | Format |
|---------------|---|--|---|------------------------------|
| RPO-49 | Legal Name of Corporately Affiliated Contracting Entity | <p>Select the name of each Corporately Affiliated Contracting Entity that establishes contracts with Payers or TPAs on behalf of the corporate affiliate. (See Figure 1 on page 26 and see Note RPO-49 on page 27)</p> <p><input type="checkbox"/> [Unique Answer 1]</p> <p><input type="checkbox"/> [Unique Answer 2]</p> <p><input type="checkbox"/> This corporate affiliate has at least one contract that is established by a Third-Party Contracting Entity.</p> <p><input type="checkbox"/> This corporate affiliate does not provide direct patient care services for which it is reimbursed according to the terms of a contract with a Payer or TPA.</p> | Yes | Checkbox, Multiple Answers |
| RPO-50 | Legal Name of Third-Party Contracting Entity(ies) | Enter the legal name of the Third-Party Contracting Entity that establishes at least one contract with Payers or TPAs on behalf of the corporate affiliate. (See Note RPO-50 on page 27) | If the user selected “Third-Party Contracting Entity” in RPO-49 | Text; Repeat as necessary |
| RPO-51 | Third-Party Contracting Entity EIN | Enter the 9-digit Employer Identification Number (EIN) for the Third-Party Contracting Entity. | If the user entered an Entity in RPO-50 | Integer; Repeat as necessary |

Corporate Affiliations File

| | Name | Instructions | Required | Format |
|---------------|-------------------|---|----------|----------------------------|
| RPO-52 | Organization Type | <p>Select the option(s) below that describes the corporate affiliate's organization type. (See Note RPO-52 on page 28)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Contracting Organizations or Managed Services Organization <input type="checkbox"/> Development/Charitable Organization <input type="checkbox"/> Direct Provider of Patient Care Services (e.g., hospital, nursing home, physician office) <input type="checkbox"/> Financial Organization (e.g., investment, private equity) <input type="checkbox"/> Holding Company <input type="checkbox"/> Inactive Entity <input type="checkbox"/> Licensed Health Insurer <input type="checkbox"/> Professional Liability Organization <input type="checkbox"/> Professional Organization <input type="checkbox"/> Property Holdings or Property Management Company <input type="checkbox"/> Research Organization <input type="checkbox"/> Other; Describe | Yes | Checkbox, Multiple Answers |

Corporate Affiliations File

| | Name | Instructions | Required | Format |
|---------------|---|---|---|----------------------------|
| RPO-53 | Organization Type – Subcategories | <p>Select the option(s) below that best describe(s) the direct provider of patient care services.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Acute Hospital <input type="checkbox"/> Ambulatory Surgery Center <input type="checkbox"/> Community Health Center <input type="checkbox"/> Chronic Care Hospital <input type="checkbox"/> Freestanding Diagnostic Imaging Center <input type="checkbox"/> Freestanding Laboratory <input type="checkbox"/> Home Health Agency <input type="checkbox"/> Hospice <input type="checkbox"/> Long-Term Acute Care Hospital <input type="checkbox"/> Mental Health Services Provider: Inpatient <input type="checkbox"/> Mental Health Services Provider: Outpatient <input type="checkbox"/> Nursing Home / Skilled Nursing Facility <input type="checkbox"/> Physician Practice <input type="checkbox"/> Private Duty Nursing <input type="checkbox"/> Rehabilitation Hospital <input type="checkbox"/> Substance Use Disorder Treatment Provider: Inpatient <input type="checkbox"/> Substance Use Disorder Treatment Provider: Outpatient <input type="checkbox"/> Urgent Care Center <input type="checkbox"/> Other Clinic <input type="checkbox"/> Other; Describe | If the user selected “Direct Provider of Patient Care Services” in RPO-52 | Checkbox, Multiple Answers |
| RPO-54 | Legal Name of Corporately Affiliated Entity(ies) with a Direct Ownership or Controlling Interest (Internal Corporate Parent(s)) | <p>Enter the legal name of the corporately-affiliated Entity that directly owns or controls the corporate affiliate, whether fully or partially. If the corporate affiliate is not owned or controlled, fully or partially, by any other Entity, select the checkbox below. (See Note RPO-54 on page 29)</p> <p><input type="checkbox"/> This corporate affiliate is not owned or controlled, fully or partially, by any other Entity.</p> | Yes | Text; Repeat as necessary |

Corporate Affiliations File

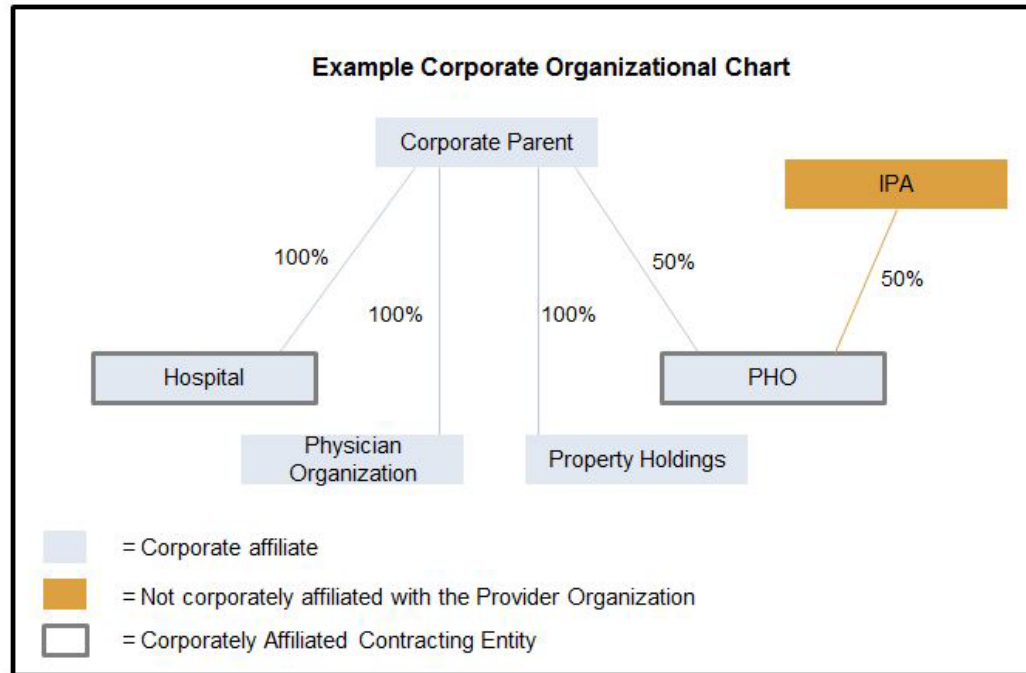
| | Name | Instructions | Required | Format |
|---------------|--|---|---|--|
| RPO-55 | Level of Ownership or Control | <p>Select the option that best characterizes the level of ownership or control that the Entity named in RPO-54 has over the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-54 is the only Entity with an ownership or controlling interest in the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-54 has a majority ownership or controlling interest in the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-54 has a 50% ownership or controlling interest in the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-54 has a minority ownership or controlling interest in the corporate affiliate, but has the largest ownership or controlling interest of all of the corporate affiliate's corporate parents.</p> <p><input type="checkbox"/> The Entity named in RPO-54 has a minority ownership or controlling interest in the corporate affiliate, and does not have the largest ownership or controlling interest of all of the corporate affiliate's corporate parents.</p> | If the user entered an Entity in RPO-54 | Checkbox, Single Answer; Repeat as necessary |
| RPO-56 | Legal Name of Other Entity(ies) with Direct Ownership or Controlling Interest (External Corporate Parent(s)) | <p>If another Entity (1) is not a corporate affiliate of the Provider Organization named in RPO-01, and (2) has a direct ownership or controlling interest in the corporate affiliate named in RPO-43, enter the legal name of the Entity here or select the option below. (See Note RPO-56 on page 29)</p> <p><input type="checkbox"/> The corporate affiliate named in RPO-43 is owned or controlled by more than six entities with which my organization does not have a Corporate Affiliation.</p> | No | Text; Repeat as necessary or Checkbox |
| RPO-57 | Other Entity with Direct Ownership or Controlling Interest EIN | Enter the 9-digit Employer Identification Number (EIN) for the Entity named in RPO-56. | If the user entered an Entity in RPO-56 | Integer; Repeat as necessary |

Corporate Affiliations File

| | Name | Instructions | Required | Format |
|---------------|--|---|---|--|
| RPO-58 | Level of Ownership or Control of Corporate Affiliate | <p>Select the option that best characterizes the level of ownership or control that the Entity named in RPO-56 has over the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-56 has a majority ownership or controlling interest in the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-56 has a 50% ownership or controlling interest in the corporate affiliate.</p> <p><input type="checkbox"/> The Entity named in RPO-56 has a minority ownership or controlling interest in the corporate affiliate, but has the largest ownership or controlling interest of all of the corporate affiliate's corporate parents.</p> <p><input type="checkbox"/> The Entity named in RPO-56 has a minority ownership or controlling interest in the corporate affiliate, and does not have the largest ownership or controlling interest of all of the corporate affiliate's corporate parents.</p> | If the user entered an Entity in RPO-56 | Checkbox, Single Answer; Repeat as necessary |

Notes to the Corporate Affiliations File

Figure 1: Example Corporate Organizational Chart



RPO-48: Contracting Entity (Contractor) Status

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RPO-48 asks the Provider Organization to answer whether the corporate affiliate establishes contracts with Payers or TPAs on behalf of one or more Providers, which may include itself. In the example corporate organizational chart ([Figure 1](#)), the Provider Organization – the corporate parent – owns a hospital, a physician organization, and a property holdings company, and has a 50% controlling interest in a Physician-Hospital Organization (PHO). Both the hospital and the PHO establish contracts with Payers and TPAs, thus making them Corporately Affiliated Contracting Entities. The Provider Organization will select “Yes” in response to the Contracting Entity Status question for both the hospital and the PHO.

The definitions of Contracting Entity and Third-Party Contracting Entity include Entities that establish contracts with government payers. If the Provider Organization’s corporate affiliate establishes Medicare contracts, such as Medicare Shared Savings, or Medicaid contracts, such as

MassHealth ACO, the Provider Organization should respond “Yes” in RPO-48 for that corporate affiliate, even if it does not establish contracts with commercial payers.

The Provider Organization must complete the Contracting Entity file for each Corporately Affiliated Contracting Entity. The online submission platform will prepopulate the Contracting Entity file with the legal name of each identified Corporately Affiliated Contracting Entity. The MA-RPO Program recommends that the Provider Organization complete the Corporate Affiliations file **before** the Contracting Entity file to allow the system to prepopulate these fields and reduce administrative burden.

RPO-49: Legal Name of Corporately Affiliated Contracting Entity

RPO-50: Legal Name of Third-Party Contracting Entity(ies)

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If the corporate affiliate is a provider of direct patient care services for which it is reimbursed according to the terms of at least one contract with Payers or TPAs, the Provider Organization must provide the name of each Entity that establishes contracts with Payers or TPAs on behalf of the corporate affiliate.

The Entities that establish contracts on behalf of the corporate affiliate fall into one of two categories: Entities that have a Corporate Affiliation with the Provider Organization (Corporately Affiliated Contracting Entities) and Entities that do not have a Corporate Affiliation with the Provider Organization (Third-Party Contracting Entities). In the Legal Name of Corporately Affiliated Contracting Entity question (RPO-49), the Provider Organization must select the name of each Entity with which the Provider Organization has a Corporate Affiliation that establishes contracts on behalf of the corporate affiliate. Each Provider Organization will have a prepopulated, unique list of possible answers for this question; each corporate affiliate for which the Provider Organization selected “Yes” in response to RPO-48: Contracting Entity (Contractor) Status will appear as a possible answer in RPO-49: Legal Name of Corporately Affiliated Contracting Entity.

In the example corporate organizational chart ([Figure 1](#)), the physician organization is a provider of direct patient care services. When answering RPO-49 for the physician organization, the Provider Organization will have two unique answer options reflecting the two Corporately Affiliated Contracting Entities displayed in this chart: the Hospital and the PHO. If the physician organization has a portion of its contracts negotiated by the hospital and a portion negotiated by the PHO, the Provider Organization will select both the Hospital and the PHO. If the physician organization had 100% of its contracts negotiated through the PHO, the Provider Organization would only select the PHO.

The Provider Organization will also have the option of selecting the following answer option for each corporate affiliate: “This corporate affiliate has at least one contract that is established by a Third-Party Contracting Entity.” A Third-Party Contracting Entity is an Entity with which the Provider Organization does not have a Corporate Affiliation, that establishes at least one contract with Payers or TPAs on behalf of the Provider Organization named in RPO-01 or at least one of the Provider Organization’s corporate affiliates. In RPO-50: Legal Name of Third-Party Contracting Entity, the Provider Organization will provide the name of each Third-Party Contracting Entity.

As noted above, the definition of Third-Party Contracting Entity includes Entities that establish contracts with government payers. If a Third-Party Contracting Entity establishes Medicare or Medicaid contracts on behalf of the corporate affiliate, but does not establish commercial contracts on the corporate affiliate's behalf, the Provider Organization should report the Third-Party Contracting Entity in RPO-50 and RPO-51.

If the corporate affiliate does not provide direct patient care services for which it is reimbursed according to the terms of at least one contract with Payers or TPAs, the Provider Organization should select "This corporate affiliate does not provide direct patient care services for which it is reimbursed according to the terms of a contract with a Payer or TPA."

RPO-52: Organization Type

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RPO-52: Organization Type asks the Provider Organization to select the type(s) of organization that describes the corporate affiliate. If the corporate affiliate's organization type is not listed, the Provider Organization will select "Other; Describe" and provide a brief description (no more than 1-2 sentences) describing the corporate affiliate.

Contracting Organizations or Managed Services Organization – A contracting organization or managed services organization is any Entity whose primary business purpose is to provide contracting, administrative, or management services to a Provider. This may include Physician-Hospital Organizations, Accountable Care Organizations, and Independent Practice Associations. The Provider Organization is not required to select this answer for each corporate affiliate that establishes contracts, but rather those that are primarily engaged in providing contracting or management services.

Development/Charitable Organization – A development/charitable organization is an Entity whose primary business purpose is to solicit and manage charitable contributions to the Provider Organization and promote the advancement of the institution and its community partnerships.

Direct Provider of Patient Care Services – A direct provider of patient care services is an organization that is engaging in the provision of Health Care Services to the Provider Organization's patients. Examples may include hospitals, community health centers, nursing homes, clinics, physician practices, and clinical laboratories, among others.

Financial Organization – A financial organization is an Entity whose primary business purpose is to manage or invest funds or to provide other financial services to the Provider Organization or its corporate affiliates.

Holding Company – A holding company is an Entity whose primary business purpose is to own or control other corporate Entities.

Inactive Entity – An inactive entity is a legal Entity that does not have any current business activity or future business activity planned within the next twelve months.

Licensed Health Insurer – A licensed health insurer is any Entity that is licensed or otherwise authorized to transact health insurance.

Professional Liability Organization – A professional liability organization is an organization that provides insurance and financial protection to Health Care Providers against the risks and liability of providing Health Care Services.

Professional Organization – A professional organization is a corporate Entity that employs or otherwise organizes physicians and other Health Care Professionals to provide direct patient care services to the Provider Organization’s patients. Independent Practice Associations should not be marked as Professional Organizations, but rather as contracting organizations or managed services organizations.

Property Holdings or Property Management Company – A property holdings or property management company is an Entity whose primary business purpose is to own and/or manage real estate assets.

Research Organization – This organization type includes, but is not limited to, contract research organizations. The Provider Organization should select this option for each of its corporate affiliates that is engaged in health care research.

RPO-54: Legal Name of Corporately Affiliated Entity(ies) with a Direct Ownership or Controlling Interest (Internal Corporate Parent(s))

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In RPO-54, the Provider Organization will list the name of each corporate affiliate that has a direct ownership or controlling interest in the corporate affiliate. If the Provider Organization were completing the questions for the Hospital in the example corporate organizational chart ([Figure 1](#)), it would list the Corporate Parent in RPO-54, as the Corporate Parent is the sole corporate member of the Hospital. The Provider Organization can enter multiple names in response to this question if its corporate affiliate is directly owned or controlled by multiple corporate affiliates. The Provider Organization will respond to RPO-55: Level of Ownership or Control, for each Entity that it entered in RPO-54.

In RPO-54, the Provider Organization should only enter the name of the corporately-affiliated Entity with a direct ownership or controlling interest. If the Provider Organization was completing the Corporate Affiliations file for the PHO in the example corporate organizational chart ([Figure 1](#)), the Provider Organization would list the Corporate Parent in RPO-54. The Independent Practice Association (IPA) would not be named in RPO-54, but rather in RPO-56: Legal Name of Other Entity(ies) with Direct Ownership or Controlling Interest (External Corporate Parent(s)), because the Provider Organization does not have a Corporate Affiliation with the IPA.

RPO-56: Legal Name of Other Entity(ies) with Direct Ownership or Controlling Interest (External Corporate Parent(s))

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If the Provider Organization has a corporate affiliate that is partially owned or controlled by an Entity that the Provider Organization does not own or control (e.g., a joint venture), the Provider Organization will list the name of the other Entity or Entities that have an ownership or controlling interest in the corporate affiliate in RPO-56. If the corporate affiliate is wholly owned or controlled by the Provider Organization (directly or indirectly), the Provider Organization does not have to complete questions RPO-56 through RPO-58. The Provider Organization can enter multiple names in response

to this question if the corporate affiliate is directly owned or controlled by multiple other Entities, and will answer RPO-57 and RPO-58 on behalf of each Entity named in RPO-56. When answering this question for the PHO in the example corporate organizational chart ([Figure 1](#)) the Provider Organization would list the IPA in RPO-56 because the Provider Organization does not have a Corporate Affiliation with the IPA.

If the Provider Organization has a corporate affiliate that is owned or controlled by more than six different Entities with which the Provider Organization does not have a Corporate Affiliation, the Provider Organization is not required to list each Entity, but rather should check the option “The corporate affiliate named in RPO-43 is owned or controlled by more than six entities with which my organization does not have a Corporate Affiliation.”

C. Contracting Affiliations File

The table below includes the data elements that the Provider Organization will provide for each Entity with which it has a Contracting Affiliation (a contracting affiliate). A Contracting Affiliation, as defined in 957 CMR 11.00 and the DSM, is any relationship between a Provider Organization and another Provider or Provider Organization for the purposes of negotiating, representing, or otherwise acting to establish contracts for the payment of Health Care Services, including for payment rates, incentives, and operating terms, with a Payer or TPA. The Contracting Affiliations file should only include non-owned entities on whose behalf the Provider Organization establishes contracts. **Entities should be reported regardless of whether they are participating in commercial contracts or public payer programs and contracts (e.g., Medicare Shared Savings Program, MassHealth Managed Care Organization (MCO) contracts).**

For the purposes of the Contracting Affiliations file, a contracting affiliate is an organization, rather than an individual physician. If the Provider Organization has Physician Participation Agreements with individual physicians, but does not have an agreement at the organizational level (e.g., medical group), the Provider Organization is not required to list the individual physicians in the Contracting Affiliations file. Provider Organizations are also not required to report physician practices that are composed of four or fewer physicians as contracting affiliates. Provider Organizations must report each contracting affiliate that is not a physician practice (e.g., an Urgent Care Center) listed in data element RPO-62 regardless of the size of the organization. **Provider Organizations must report all physicians on whose behalf they establish at least one contract in the Physician Roster file regardless of whether the physician's practice has been reported in the Contracting Affiliations file.**

The online submission platform uses the information from the Contracting Affiliations file to populate other questions on other tabs. Therefore, the MA-RPO Program recommends completing any updates to the Contracting Affiliations file before reviewing or entering information in the Contracting Entity file.

2024 Updates: None

How to Update: The Contracting Affiliations file will be prepopulated with the data provided by the Provider Organization in the previous filing. Provider Organizations may edit listed contracting affiliates data directly in the online submission platform.

Timing: The Contracting Affiliations file must reflect all of the Provider Organization's Contracting Affiliations as of January 1, 2024.

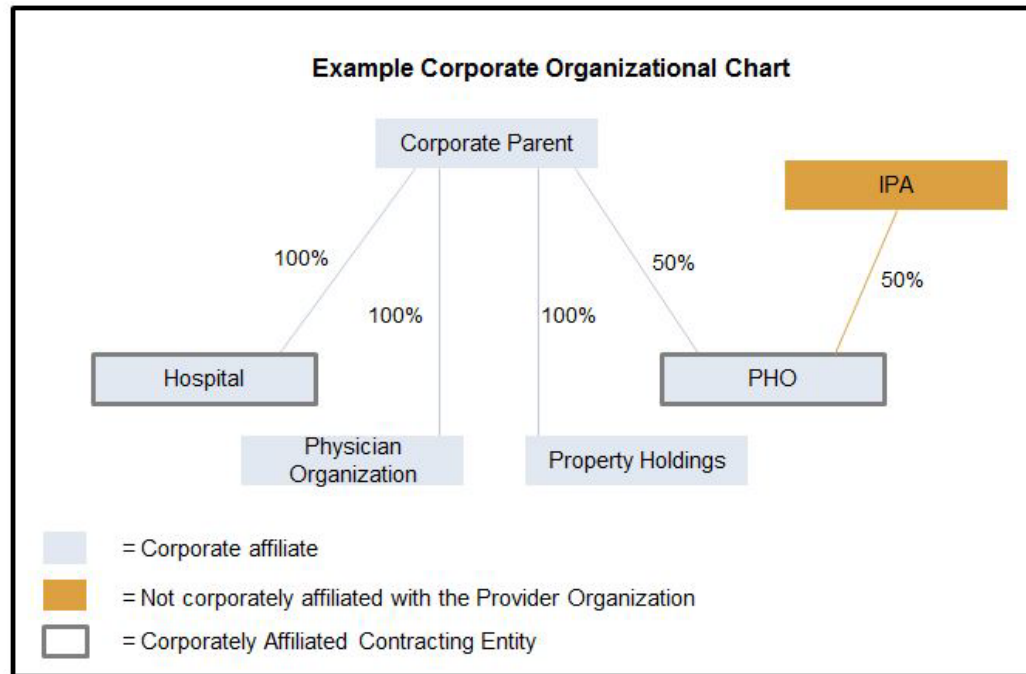
Out-of-State Reporting: Provider Organizations are required to report each contracting affiliate that has at least one Facility or site located in Massachusetts. Contracting affiliates that are located exclusively outside of Massachusetts may be excluded.

| Contracting Affiliations File | | | | |
|-------------------------------|--|--|----------|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-59 | Legal Name of Contracting Affiliate | Enter the legal name of the contracting affiliate. | Yes | Text |
| RPO-60 | Contracting Affiliate D/B/A or Alternate Name(s) | Enter all commonly used names by which the contracting affiliate is known, including any Doing-Business-As names for which the contracting affiliate has filed a D/B/A certificate as required by M.G.L. c. 110, § 5 or the applicable laws of another state. | No | Text; Repeat as necessary |
| RPO-61 | Contracting Affiliate Employer Identification Number (EIN) | Enter the 9-digit Employer Identification Number (EIN) for the contracting affiliate. Individual Social Security Numbers should not be included under any circumstances. Leave this field blank if the EIN is a Social Security Number. | Yes | Integer |
| RPO-62 | Organization Type | <p>Select the option(s) below that describe the contracting affiliate’s organization type. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate two-digit or three-digit answer code(s), separated by a semi-colon, in the template.</p> <div><div><input type="checkbox"/> Acute Hospital (10)</div><div><input type="checkbox"/> Ambulatory Surgery Center (11)</div><div><input type="checkbox"/> Chronic Care Hospital (131)</div><div><input type="checkbox"/> Community Health Center (12)</div><div><input type="checkbox"/> Contracting Entity or Managed Services Organization (13)</div><div><input type="checkbox"/> Freestanding Diagnostic Imaging Center (14)</div><div><input type="checkbox"/> Freestanding Laboratory (132)</div><div><input type="checkbox"/> Home Health Agency (15)</div><div><input type="checkbox"/> Hospice (16)</div><div><input type="checkbox"/> Long Term Acute Care Hospital (17)</div><div><input type="checkbox"/> Mental Health Services Provider: Inpatient (18)</div></div> <div><div><input type="checkbox"/> Mental Health Services Provider: Outpatient (19)</div><div><input type="checkbox"/> Nursing Home / Skilled Nursing Facility (20)</div><div><input type="checkbox"/> Physician Practice (21)</div><div><input type="checkbox"/> Private Duty Nursing (133)</div><div><input type="checkbox"/> Rehabilitation Hospital (22)</div><div><input type="checkbox"/> Substance Use Disorder Treatment Provider: Inpatient (23)</div><div><input type="checkbox"/> Substance Use Disorder Treatment Provider: Outpatient (24)</div><div><input type="checkbox"/> Urgent Care Center (25)</div><div><input type="checkbox"/> Other Clinic (26)</div><div><input type="checkbox"/> Other; Describe (27)</div></div> | Yes | Checkbox, Multiple Answers |

| Contracting Affiliations File | | | | |
|-------------------------------|---|---|----------|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-63 | Legal Name of Corporately Affiliated Contracting Entity | <p>Select the name of each Corporately Affiliated Contracting Entity that establishes contracts with Payers or TPAs on behalf of the contracting affiliate. Provider Organizations that choose to complete this question using the MA-RPO-issued Microsoft Excel template should review the special instructions in the template for completing this question. (See Note RPO-63 on page 34 and Figure 1 on page 34)</p> <p><input type="checkbox"/> [Unique Answer 1]</p> <p><input type="checkbox"/> [Unique Answer 2]</p> | Yes | Checkbox, Multiple Answers |

Notes to the Contracting Affiliations File

Figure 1: Example Corporate Organizational Chart



RPO-63: Legal Name of Corporately Affiliated Contracting Entity

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The Provider Organization must provide the name of each Corporately Affiliated Contracting Entity that establishes contracts with Payers or TPAs on behalf of the contracting affiliate. Each Provider Organization will have a unique list of possible answers for this question; each corporate affiliate for which the Provider Organization selected “Yes” in response to RPO-48: Contracting Entity (Contractor) Status in the Corporate Affiliations file will appear as a possible answer in RPO-63: Legal Name of Corporately Affiliated Contracting Entity. In the example corporate organizational chart ([Figure 1](#)), both the hospital and the PHO establish contracts with Payers and TPAs, and are therefore considered Corporately Affiliated Contracting Entities. The hospital and the PHO would thus both appear as possible answers to question RPO-63 as Corporately Affiliated Contracting Entities that could establish contracts on behalf of the contracting affiliate. The Provider Organization is only required to indicate which of those Corporately Affiliated Contracting Entities establish contracts on behalf of the contracting affiliate. The Provider Organization is not required to indicate whether the

contracting affiliate has contracts that are established by an Entity with which the Provider Organization does not have a Corporate Affiliation. Please note that if the Provider Organization chooses to complete this file using the MA-RPO-issued Microsoft Excel template, the Provider Organization will be asked to provide the 9-digit EIN of the Corporately Affiliated Contracting Entity or entities, rather than the legal name.

D. Contracting Entity File

The table below includes the data elements that the Provider Organization will provide about each of the Provider Organization’s Corporately Affiliated Contracting Entities. A Corporately Affiliated Contracting Entity is a Contracting Entity with which the Provider Organization has a Corporate Affiliation. Each corporate affiliate for which the Provider Organization selected “Yes” in response to RPO-48: Contracting Entity (Contractor) Status in the Corporate Affiliations file is considered a Corporately Affiliated Contracting Entity. The online submission platform uses the information from the Provider Organization’s Corporate Affiliations file and Contracting Affiliations file to populate information on the Contracting Entity tab. Therefore, the MA-RPO Program strongly recommends completing any updates to the Corporate Affiliations file and the Contracting Affiliations file before reviewing or entering information in the Contracting Entity tab.

2024 Updates: None.

How to Update: The Contracting Entity file will be prepopulated with the data provided by the Provider Organization in the previous filing. Provider Organizations may answer new questions or edit Contracting Entity data directly in the online submission platform.

Timing: The Contracting Entity file must reflect all contracts in place as of January 1, 2024. The MA-RPO program is aware of changes in the payer market (i.e., the merger of Harvard Pilgrim Health Care and Tufts Health Plan). The categories listed in RPO-65 and RPO-65A reflect offerings as of January 1, 2024.

Out-of-State Reporting: Provider Organizations are required to submit a Contracting Entity file for each reportable corporate affiliate for which it selected “Yes” in response to RPO-48: Contracting Entity (Contractor) Status in the Corporate Affiliations file, provided that the Contracting Entity establishes at least one contract on behalf of Facilities located in Massachusetts and/or physicians that practice in Massachusetts.

| Contracting Entity File | | | | |
|-------------------------|---|---|----------|--------|
| | Name | Instructions | Required | Format |
| RPO-64 | Legal Name of Corporately Affiliated Contracting Entity | Enter the legal name of the Corporately Affiliated Contracting Entity. (See Note RPO-64 on page 43) | Yes | Text |

| Contracting Entity File | | | | | |
|-------------------------|---|---|--|----------|--------|
| | Name | Instructions | | Required | Format |
| RPO-65 | Contracts by Payer Category (Establishment) | <div>Select each type of contract that the Corporately Affiliated Contracting Entity establishes with each Payer or Payer category. (See Note RPO-65 on page 43)</div> <div><div><div>Private Commercial: Blue Cross Blue Shield<div><input type="checkbox"/> Pay for Performance (P4P)<input type="checkbox"/> Global Payment<input type="checkbox"/> Bundled Payment<input type="checkbox"/> Other APM<input type="checkbox"/> Fee for Service (FFS)<input type="checkbox"/> Other</div></div><div>Private Commercial: Mass General Brigham Health Plan (formerly AllWays Health Partners)<div><input type="checkbox"/> P4P<input type="checkbox"/> Global Payment<input type="checkbox"/> Bundled Payment<input type="checkbox"/> Other APM<input type="checkbox"/> FFS<input type="checkbox"/> Other</div></div><div>Private Commercial: Harvard Pilgrim Health Care<div><input type="checkbox"/> P4P<input type="checkbox"/> Global Payment<input type="checkbox"/> Bundled Payment<input type="checkbox"/> Other APM<input type="checkbox"/> FFS<input type="checkbox"/> Other</div></div><div>Other Private Commercial<div><input type="checkbox"/> P4P<input type="checkbox"/> Global Payment<input type="checkbox"/> Bundled Payment<input type="checkbox"/> Other APM<input type="checkbox"/> FFS<input type="checkbox"/> Other</div></div><div>Private Commercial: Tufts Health Plan<div><input type="checkbox"/> P4P<input type="checkbox"/> Global Payment<input type="checkbox"/> Bundled Payment<input type="checkbox"/> Other APM<input type="checkbox"/> FFS<input type="checkbox"/> Other</div></div><div>Medicare<div><input type="checkbox"/> Medicare Advantage<input type="checkbox"/> ACO<input type="checkbox"/> Bundled Payment Programs<input type="checkbox"/> FFS<input type="checkbox"/> Other</div></div><div>Medicaid<div><input type="checkbox"/> MCO<input type="checkbox"/> MassHealth ACO<input type="checkbox"/> Senior Care Options/ Program for All Inclusive Care for the Elderly/ OneCare<input type="checkbox"/> FFS/PCC<input type="checkbox"/> Other</div></div></div></div> <div>Yes</div> <div>Checkbox, Multiple Answers</div> | | | |

| Contracting Entity File | | | | |
|-------------------------|---|---|----------|---------------------------|
| | Name | Instructions | Required | Format |
| RPO-65A | Contracts by Payer Category (Participation) | <p>For each Payer or Payer category, select each type of contract in which the corporate or contracting affiliate participates that was established by this Corporately Affiliated Contracting Entity. This question must be answered for each corporate affiliate and each contracting affiliate on whose behalf the Corporately Affiliated Contracting Entity establishes at least one contract. (See Note RPO-65A on page 43)</p> <div> <div> Private Commercial: Blue Cross Blue Shield <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Private Commercial: Mass General Brigham Health Plan (formerly AllWays Health Partners) <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Private Commercial: Harvard Pilgrim Health Care <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Other Private Commercial <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Private Commercial: Tufts Health Plan <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Medicare <input type="checkbox"/> Medicare Advantage <input type="checkbox"/> ACO <input type="checkbox"/> Bundled Payment Programs <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Private Commercial: Health New England <input type="checkbox"/> P4P <input type="checkbox"/> Global Payment <input type="checkbox"/> Bundled Payment <input type="checkbox"/> Other APM <input type="checkbox"/> FFS <input type="checkbox"/> Other </div> <div> Medicaid <input type="checkbox"/> MCO <input type="checkbox"/> MassHealthACO <input type="checkbox"/> SCO/ PACE/ OneCare <input type="checkbox"/> FFS/PCC <input type="checkbox"/> Other </div> </div> | Yes | Checkbox, Multiple Answer |

| Contracting Entity File | | | | |
|-------------------------|--|---|--------------------------------------|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-66 | Contracting for Affiliated Providers | <p>Does the Contracting Entity establish contracts on behalf of any Health Care Professionals that it, or a corporate affiliate of the Provider Organization named in RPO-01, does not employ, or on behalf of a Provider with which the Contracting Entity does not have a Corporate Affiliation?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | Yes | Checkbox, Single Answer |
| RPO-67 | Services Offered to Contracting Affiliates | <p>Select each service that the Contracting Entity offers to Health Care Professionals or Providers that are not employed or owned by the Provider Organization named in RPO-01 or any of its corporate affiliates. (See Note RPO-67 on page 44)</p> <p> <input type="checkbox"/> Administrative Support: Billing <input type="checkbox"/> Administrative Support: General <input type="checkbox"/> Care Management <input type="checkbox"/> Carrier Contract Management <input type="checkbox"/> Information Technology <input type="checkbox"/> Other Management <input type="checkbox"/> Professional Training <input type="checkbox"/> None of the above </p> | If the user selected “Yes” in RPO-66 | Checkbox, Multiple Answers |
| RPO-68 | Global Payment | <p>Select the answer that best describes if the Contracting Entity establishes contracts that include Global Payments for participating Providers or Provider Organization(s). (See Note RPO-68 on page 45)</p> <p> <input type="checkbox"/> The Contracting Entity only establishes contracts with Global Payments through which it is eligible for surpluses, but is not responsible for deficits. <input type="checkbox"/> The Contracting Entity establishes contracts with Global Payments through which it is eligible for surpluses and responsible for deficits. <input type="checkbox"/> The Contracting Entity does not establish any contracts that include a Global Payment. </p> | Yes | Checkbox, Single Answer |

| Contracting Entity File | | | | |
|-------------------------|---|---|---|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-69 | Global Payments – Eligibility for Surplus | <p>Select the answers that best describe which types of Providers are eligible for surplus under any of the Contracting Entity’s Global Payment arrangements. (See Note RPO-69 on page 45)</p> <p> <input type="checkbox"/> Primary Care Physicians <input type="checkbox"/> Specialists – Behavioral Health <input type="checkbox"/> Specialists – Non-Behavioral Health <input type="checkbox"/> Hospital(s) <input type="checkbox"/> Post-Acute <input type="checkbox"/> Ancillary <input type="checkbox"/> Other <input type="checkbox"/> None of the above </p> | If the user selected Option 1 or Option 2 in RPO-68 | Checkbox, Multiple Answers |
| RPO-70 | Global Payments – Responsibility for Deficits | <p>Select the answers that best describe which types of Providers are responsible for deficits under any of the Contracting Entity’s Global Payment arrangements. (See Note RPO-70 on page 45)</p> <p> <input type="checkbox"/> Primary Care Physicians <input type="checkbox"/> Specialists – Behavioral Health <input type="checkbox"/> Specialists – Non-Behavioral Health <input type="checkbox"/> Hospital(s) <input type="checkbox"/> Post-Acute <input type="checkbox"/> Ancillary <input type="checkbox"/> Other <input type="checkbox"/> None of the above </p> | If the user selected Option 2 in RPO-68 | Checkbox, Multiple Answers |

| Contracting Entity File | | | | |
|-------------------------|---|---|---|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-71 | Global Payments – Withholds | <p>Select the answer(s) that best describe if and how the Contracting Entity uses withholds with regard to its subdivisions (or, if the Contracting Entity does not use subdivisions, with regard to individual physicians). (See Note RPO-71 on page 46)</p> <p><input type="checkbox"/> In the case of organizational surplus, the Contracting Entity returns withholds to all subdivisions (or individual physicians).</p> <p><input type="checkbox"/> In the case of organizational surplus, the Contracting Entity returns withholds only to subdivisions that meet performance metrics (or individual physicians that meet performance metrics).</p> <p><input type="checkbox"/> In the case of organizational deficit, the Contracting Entity does not return withholds to any subdivision (or individual physicians).</p> <p><input type="checkbox"/> In the case of organizational deficit, the Contracting Entity returns withholds only to subdivisions that meet performance metrics (or individual physicians that meet performance metrics).</p> <p><input type="checkbox"/> The Contracting Entity uses other methods to determine whether to return withholds.</p> <p><input type="checkbox"/> Not applicable; the Contracting Entity does not use or make decisions about the return of withholds.</p> | If the user selected Option 2 in RPO-68 | Checkbox, Multiple Answers |
| RPO-72 | Global Payments – Distribution of Surplus/Deficit | <p>Select the answer that best describes how the Contracting Entity determines the methodology by which subdivisions distribute surplus funds and/or deficit responsibility to their component subdivisions. (See Note RPO-72 on page 47)</p> <p><input type="checkbox"/> The Contracting Entity determines the method by which subdivisions allocate surplus and/or deficit.</p> <p><input type="checkbox"/> The Contracting Entity sets standards regarding allocation of surplus and/or deficit that subdivisions must follow.</p> <p><input type="checkbox"/> Subdivisions are required to inform the Contracting Entity how they decide to allocate surplus and/or deficit.</p> <p><input type="checkbox"/> The Contracting Entity does not have any subdivisions and therefore the Contracting Entity makes all decisions about allocation of surplus and/or deficit to individual physicians.</p> <p><input type="checkbox"/> None of the above.</p> | If the user selected Option 1 or Option 2 in RPO-68 | Checkbox, Single Answer |

| Contracting Entity File | | | | |
|-------------------------|-------------------------|---|----------|-------------------------|
| | Name | Instructions | Required | Format |
| RPO-73 | Upload Physician Roster | <p>Select the option below that best describes how you are providing the physician roster for the Contracting Entity. (See Note RPO-73 on page 47 and Section F – Physician Roster File on page 56)</p> <p><input type="checkbox"/> I have imported the physician roster for the Contracting Entity on the File Attachments tab of the online submission platform.</p> <p><input type="checkbox"/> The MA-RPO Program has approved my request to submit an abbreviated filing, and I am therefore not required to submit a physician roster for the Contracting Entity.</p> <p><input type="checkbox"/> The physician roster requirement is being met for this Contracting Entity through the roster of another Corporately Affiliated Contracting Entity, as allowed by the MA-RPO Program.</p> <p><input type="checkbox"/> This Contracting Entity does not establish contracts with Payers or TPAs on behalf of physicians and is therefore not required to submit a physician roster.</p> | Yes | Checkbox, Single Answer |

Notes to the Contracting Entity File

RPO-64: Legal Name of Corporately Affiliated Contracting Entity

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The legal name of each of the Provider Organization’s Corporately Affiliated Contracting Entities will be prepopulated in the online submission platform. Each corporate affiliate for which the Provider Organization selected “Yes” in response to RPO-48: Contracting Entity (Contractor) Status in the Corporate Affiliations file will appear in the Contracting Entity file.

RPO-65: Contracts by Payer Category (Establishment)

RPO-65A: Contracts by Payer Category (Participation)

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[Back](#) to Data Element RPO-65A – page 38

In RPO-65, the Provider Organization will select each type of contract that the Corporately Affiliated Contracting Entity *establishes* with each listed Payer or Payer category. In RPO-65A, the Provider Organization will select each type of contract in which its corporate and/or contracting affiliates participate that was established by the Corporately Affiliated Contracting Entity named in RPO-65. In RPO-65A, Provider Organizations **do not** need to provide information on the types of contracts that their contracting affiliates have established themselves or that are established by other Contracting Entities. If a Provider Organization does not establish contracts on behalf of any corporate or contracting affiliates, but rather has individual physician participation agreements, the Provider Organization does not need to provide information in RPO-65A on individual physician participation in the contracts their organization establishes.

Pay for Performance (P4P) - Pay for performance contracts are a type of payment arrangement with a public or commercial payer that reimburses Providers for achieving certain quality or efficiency benchmarks. For the purposes of the Contracting Entity file, P4P contracts are reported separately from risk contracts (e.g., global payment contracts, bundled payment contracts, and other APM contracts).

Global Payments - Global Payments are a type of payment arrangement between Payers and Providers where budgets for health care spending are set either prospectively or retrospectively for a comprehensive set of services for a broadly defined population. Contract must include at a minimum: physician services and inpatient and outpatient hospital services.

Bundled Payments - Bundled Payments are payment arrangements where budgets for health care spending are set for a defined episode of care for a specific condition (e.g., knee replacement) delivered by Providers across multiple provider types.

Other APM - All other payment arrangements not based on a FFS model including, but not limited to, supplemental payments for the Patient-Centered Medical Home (PCMH) arrangements and limited budget payment arrangements.

Fee-for-Service (FFS) – FFS contracts are payment arrangements where a payer pays a provider for each service rendered, based on an agreed upon price for each service. FFS payments include Diagnosis Related Groups (DRGs), per-diem payments, fixed procedure code-based fee schedule (e.g., Medicare’s Ambulatory Payment Classifications (APCs)), and discounted charges-based payments. For the purpose of the Contracting Entity file, FFS contracts are reported separately from P4P or risk contracts.

The answer options for the Medicare and Medicaid categories reflect specific offerings for each of these payers. In RPO-65 and RPO-65A, Provider Organizations should select “MassHealth Accountable Care Organization (ACO)” to indicate formation of or participation in, respectively, any of the MassHealth ACO model designs.

In RPO-65 and RPO-65A, a single contract should be classified into only one of the available categories. For example, any contract under which a provider’s spending is evaluated against a global budget – such as the AQC – should be categorized as a Global Payment contract, even if providers are paid on a fee-for-service basis for claims billed during the contract period or are eligible for quality incentive payments.

The names of the Provider Organization’s corporate and contracting affiliates will be prepopulated in the online submission platform based on information provided in RPO-49: Legal Name of Corporately Affiliated Contracting Entity for corporate affiliates and RPO-63: Legal Name of Corporately Affiliated Contracting Entity for contracting affiliates.

RPO-67: Services Offered to Contracting Affiliates

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In RPO-67, the Provider Organization will select the categories of services that the Contracting Entity offers to the Health Care Professionals or Providers that are not employed or owned by the Provider Organization.

Administrative Support: Billing – Examples may include billing, coding, auditing, and revenue cycle services.

Administrative Support: General – Examples may include human resources, compliance, Provider credentialing, accreditation, practice management, and administrative staff training and development.

Care Management – Examples may include case management, population health management programs, data analytics, quality improvement programs, utilization review, disease management, and pharmacy management.

Carrier Contract Management – Examples may include development of incentive distribution methodologies, Carrier contract compliance services, and contract enforcement and dispute resolution.

Information Technology – Examples may include providing, monitoring or training practices on Electronic Health Record (EHR) systems, supporting Meaningful Use certification, establishing EHR interfaces between Providers, electronic prescribing, and server maintenance.

Other Management – Examples may include legal services, risk management, group purchasing, real estate management, and physician recruitment and retention.

Professional Training – Examples may include continuing medical education and professional advancement training.

RPO-68: Global Payment

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Global Payments are a type of payment arrangement between Payers and Providers where budgets for health care spending are set either prospectively or retrospectively for a comprehensive set of services for a broadly defined population. Some Global Payment contracts require that if the Provider spends less than the spending target, the Payer will share some or all of the surplus. If the Contracting Entity only negotiates Global Payment contracts of this type, select the first option.

Some Global Payment contracts require both that the Payer share any surplus and that if the Provider spends more than the spending target, the Provider or the Contracting Entity absorb some or all of the additional costs. If the Corporately Affiliated Contracting Entity negotiates any Global Payment contracts of this type, select the second option.

RPO-69: Global Payments – Eligibility for Surplus

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Eligibility for surplus means that if the Corporately Affiliated Contracting Entity spends less than the Global Payment spending target and therefore will receive a surplus payment from the Payer, Providers participating in the Global Payment contract may be eligible to receive part of this surplus payment.

The Provider Organization should select each type of Provider that is eligible for surplus, regardless of whether all Providers of that type are eligible. For example, even if some, but not all, primary care physicians are eligible for surplus, the Provider Organization should select “Primary Care Physicians.”

The Provider Organization can select “None of the above” in response to this question. The Provider Organization should select this answer if no Provider receives a share of surplus funds. This might be the case if all surplus funds were retained at the level of the Corporately Affiliated Contracting Entity to cover the costs of administering the contracts, or retained as a reserve against future deficit.

RPO-70: Global Payments – Responsibility for Deficits

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Responsibility for deficits means that if the Corporately Affiliated Contracting Entity spends more than the Global Payment spending target and therefore owes the Payer a deficit re-payment, Providers participating in the Global Payment contract may be required to contribute to this re-payment. This includes:

- Direct re-payment (i.e., transfer of funds from the Provider to the Payer or to the Contracting Entity to be forwarded to the Payer);
- Loss of funds withheld by the Payer from claims payments pending final contract settlement;
- Loss of funds withheld by the Contracting Entity from claims payments pending contract settlement.

The Provider Organization should select each type of Provider that is responsible for deficits, regardless of whether all Providers of that type are responsible. For example, even if some, but not all, primary care physicians are responsible for deficits, the Provider Organization should select “Primary Care Physicians.”

The Provider Organization can select “None of the above” in response to this question. The Provider Organization should select this answer if it manages actual or potential future deficits owed to Payers exclusively through mechanisms that spread risk evenly across the organization, rather than mechanisms that require subdivisions (e.g., medical groups, Local Practice Groups, hospitals) to contribute to re-paying the deficit based on their performance on cost, quality, or other metrics. Examples may include:

- Using a reserve fund built from member dues, past profits or margins, or past surplus from Global Payment contracts
- Using a line of credit
- Maintaining a reinsurance (stop-loss) policy

RPO-71: Global Payments – Withholds

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Contracting Entities that receive surpluses from or owe deficits to Payers under Global Payment arrangements generally must further distribute surpluses to and collect deficits from some or all of the Providers participating in the Global Payment contract. A subdivision is the organizational structure that receives surplus funds from the Contracting Entity or gives deficit funds to the Contracting Entity. In many cases, subdivisions are legal Entities, such as PHOs, medical groups, or hospitals. In other cases, Contracting Entities may combine several legal Entities, such as medical groups, into a single subdivision, such as a Local Practice Group, which may not be a distinct legal Entity. Alternately, there may not be an organizational structure below the Contracting Entity; there may only be individual physicians. Thus, the nature of the “subdivision” will vary based on the structure of the Contracting Entity.

The term “withhold” includes funds withheld by Payers where the Contracting Entity is responsible for determining how to allocate any withhold returned to the organization following the end-of-year settlement, as well as funds withheld by the Contracting Entity (typically in addition to any funds withheld by the Payer) pending the end-of-year settlement.

The Provider Organization should answer this question about any withholds for which it determines the circumstances under which subdivisions receive withheld funds. If all withheld funds are returned by the Payer directly to the Providers from whom funds were withheld, the Provider Organization should select: “Not applicable; the Contracting Entity does not make decisions about the return of withholds.”

RPO-72: Global Payments – Distribution of Surplus/Deficit

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Subdivisions usually need to distribute surplus to and/or collect deficits from component subdivisions. For example, a Contracting Entity may contract for several PHOs, and in turn each PHO may consist of several medical groups. In this case, the medical groups may be the component subdivisions of the PHO. In other cases, especially where the subdivisions are smaller medical groups, the subdivision will consist of individual physicians with no further intermediate structure. Subdivisions often have methods to govern the distribution of surplus and collection of deficit from their component subdivisions or individual physicians. This question is asking about the level of control and/or knowledge that the Contracting Entity has with respect to the methods its subdivisions use to distribute surplus to and/or collect deficits from their component subdivisions and/or individual physicians.

Contracting entities that are medical groups may not have an organizational structure below the Contracting Entity; they may only have individual physicians. In this case, there is no subdivision in the Contracting Entity, and the Contracting Entity should select: “The Contracting Entity does not have any subdivisions and therefore the Contracting Entity makes all decisions about allocation of surplus and/or deficit to individual physicians.”

RPO-73: Upload Physician Roster

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A separate physician roster must be submitted for each Corporately Affiliated Contracting Entity. This requirement is meant to ensure that Provider Organizations with multiple Corporately Affiliated Contracting Entities that establish contracts on behalf of distinct groups of physicians can attribute physicians to the proper Contracting Entity. However, the MA-RPO Program recognizes that a Provider Organization may have multiple Corporately Affiliated Contracting Entities that establish contracts on behalf of the same group of physicians. Such Provider Organizations may, at the sole discretion of the MA-RPO Program, be permitted to fulfill the physician roster requirement for one or more Corporately Affiliated Contracting Entities through the physician roster of another Corporately Affiliated Contracting Entity. Organizations wishing to pursue this option are required to attend a one-on-one meeting with program staff to discuss their contracting structure.

Provider Organizations should select “This Contracting Entity does not establish contracts with Payers or TPAs on behalf of physicians and is therefore not required to submit a physician roster” if any of their Corporately Affiliated Contracting Entities do not establish contracts with Payers or TPAs on behalf of physicians (i.e., the Corporately Affiliated Contracting Entity only establishes contracts on behalf of Facilities).

E. Facilities File

The table below includes the data elements that the Provider Organization will provide about its or its corporate affiliates' licensed Facilities. A Facility is a licensed institution providing Health Care Services or a health care setting, including, but not limited to, hospitals and other licensed inpatient centers, ambulatory surgical or treatment centers, skilled nursing centers, residential treatment centers, diagnostic, laboratory and imaging centers, and rehabilitation and other therapeutic health settings. The Provider Organization is not required to report its contracting affiliates' or clinical affiliates' licensed Facilities, nor is it required to report its sites of care that are unlicensed. If the Provider Organization named in RPO-01 and its corporate affiliates do not have any licensed Facilities, the Provider Organization does not have to complete this file.

For the purposes of completing the Facilities file, Campus means the physical area immediately adjacent to the Provider's main buildings and other areas and structures that are not strictly contiguous to the main buildings but are located within 250 yards of the main buildings. The Provider Organization may report a Campus as a single entry in the Facilities file, rather than listing each building or address that makes up the Campus separately, provided that all of the licensed buildings, areas, and structures located on the Campus are operating under a single license. If there are multiple buildings in a 250-yard radius that are not all covered by the same license, each separately licensed Facility must be reported on a separate line.

The Provider Organization must report each of its licensed satellite locations separately, unless the satellite is considered to be part of a Campus that has already been reported. The Provider Organization's responses to the questions below should be answered as they pertain to the Facility or Campus, rather than how they pertain to the license.

2024 Updates: None.

How to Update: The Facilities file will be prepopulated with the data provided by the Provider Organization in the previous filing. Provider Organizations may edit Facilities data directly in the online submission platform.

Timing: The Facilities file must reflect all of the Provider Organization's Facilities as of January 1, 2024.

Out-of-State Reporting: Provider Organizations are required to report each licensed Facility that is physically located in Massachusetts.

Facilities File

| | Name | Instructions | Required | Format |
|---------------|--|--|----------|--|
| RPO-74 | Name(s) of Facility | Enter any commonly used names of the Facility, including any Doing-Business-As names for which a D/B/A certificate has been filed as required by M.G.L. c. 110, § 5 or the applicable laws of another state. | Yes | Text; Repeat as necessary |
| RPO-75 | Facility Employer Identification Number (EIN) | Enter the 9-digit Employer Identification Number (EIN) under which the Facility operates. | Yes | Integer |
| RPO-76 | Facility National Provider Identifier(s) (NPI) | Enter each organizational National Provider Identifier (NPI) associated with the Facility. | No | Integer; Repeat as necessary |
| RPO-77 | Address Line 1 | Enter Line 1 of the Facility's physical address. | Yes | Text |
| RPO-78 | Address Line 2 | Enter Line 2 of the Facility's physical address. | No | Text |
| RPO-79 | Address Line 3 | Enter Line 3 of the Facility's physical address. | No | Text |
| RPO-80 | City | Enter the city of the Facility's physical address. | Yes | Text |
| RPO-81 | State | Enter the state of the Facility's physical address. | Yes | Text |
| RPO-82 | Zip Code | Enter the 5-digit zip code of the Facility's physical address. | Yes | Integer |
| RPO-83 | License Number(s) | Enter the Facility's license number(s). | Yes | Text and Integer; Repeat as necessary |
| RPO-84 | License Type(s) | Select the option(s) corresponding to the Facility license type(s). If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate two-digit answer code(s), separated by a semi-colon, in the template. | Yes | Checkbox, Multiple Answers |

Facilities File

| | Name | Instructions | Required | Format |
|--|------|--|----------|--------|
| | | <input type="checkbox"/> Acute Hospital – Main Site (28) <input type="checkbox"/> Acute Hospital – Satellite (29) <input type="checkbox"/> Adult Day Health Program (30) <input type="checkbox"/> Birth Center (31) <input type="checkbox"/> Clinic – Main Site (32) <input type="checkbox"/> Clinic – Satellite (33) <input type="checkbox"/> End Stage Renal Disease Facility (34) <input type="checkbox"/> Freestanding Clinical Laboratory (35) <input type="checkbox"/> Hospice (36) <input type="checkbox"/> Intermediate Care Facility for Individuals with Intellectual Disabilities (37) <input type="checkbox"/> Mammography Facility (38) <input type="checkbox"/> Mental Health Facility – Class II (39) <input type="checkbox"/> Mental Health Facility – Class III (40) <input type="checkbox"/> Mental Health Facility – Class IV (41) <input type="checkbox"/> Mental Health Facility – Class V (42) <input type="checkbox"/> Mental Health Facility – Class VI (43) <input type="checkbox"/> Mental Health Facility – Limited Class VI (44) <input type="checkbox"/> Mental Health Facility – Class VII (45) <input type="checkbox"/> Mental Health Facility – Class VIII (46) <input type="checkbox"/> Non-Acute Hospital – Main Site (47) <input type="checkbox"/> Non-Acute Hospital – Satellite (48) <input type="checkbox"/> Nursing Home (49) <input type="checkbox"/> Rest Home (50) <input type="checkbox"/> Substance Abuse Services (SAS) – Acute – Acupuncture (51) <input type="checkbox"/> SAS – Acute – Outpatient Detoxification (52) <input type="checkbox"/> SAS – Acute – Inpatient Detoxification – Medically Managed (53) <input type="checkbox"/> SAS – Acute – Inpatient Detoxification – Medically Monitored (54) <input type="checkbox"/> SAS – Acute – Inpatient Detoxification – Clinically Managed (55) <input type="checkbox"/> SAS – Outpatient – First Offender Driver Alcohol Education (56) | | |

Facilities File

| | Name | Instructions | Required | Format |
|---------------|------------------|---|--|-----------------------------------|
| | | <input type="checkbox"/> SAS – Outpatient – Outpatient Counseling (57) <input type="checkbox"/> SAS – Outpatient – Second Offender Aftercare (58) <input type="checkbox"/> SAS – Opioid Treatment (59) <input type="checkbox"/> SAS – Residential Rehabilitation – Adults – Transitional Support Services (60) <input type="checkbox"/> SAS – Residential Rehabilitation – Adults – Social Model Recovery (61) <input type="checkbox"/> SAS – Residential Rehabilitation – Adults – Recovery Home (62) <input type="checkbox"/> SAS – Residential Rehabilitation – Adults – Therapeutic Community (63) <input type="checkbox"/> SAS – Residential Rehabilitation – Families (64) <input type="checkbox"/> SAS – Residential Rehabilitation – Adolescents (65) <input type="checkbox"/> SAS – Residential Rehabilitation – Operating Under the Influence Second Offenders (66) <input type="checkbox"/> Other (67) | | |
| RPO-85 | Type of Facility | <p>Select the option(s) below that best describes the Facility type. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate two-digit answer code(s), separated by a semi-colon, in the template.</p> <input type="checkbox"/> Ambulatory Surgery Center (68) <input type="checkbox"/> Community Mental Health Center (69) <input type="checkbox"/> Dental Clinic (70) <input type="checkbox"/> Federally Qualified Community Health Center (71) <input type="checkbox"/> Freestanding Diagnostic Imaging Center (72) <input type="checkbox"/> Freestanding Urgent Care Center (73) <input type="checkbox"/> Limited Services Clinic (74) <input type="checkbox"/> Satellite Emergency Facility (75) <input type="checkbox"/> General Clinic / Other (76) | <p>If the user selected “Clinic – Main Site” or “Clinic – Satellite” in RPO-84</p> | <p>Checkbox, Multiple Answers</p> |

Facilities File

| | Name | Instructions | Required | Format |
|----------------|--------------------------------------|---|---|----------------------------|
| RPO-86 | Provider-Based Status | <p>Is this Facility billed to Medicare as a provider-based organization? (See Note RPO-86 on page 55)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | If the user selected “Clinic – Main Site,” “Clinic – Satellite,” or “Acute Hospital – Satellite” in RPO-84 | Checkbox, Single Answer |
| RPO-87 | Available Services | <p>Select the service(s) available at this Facility. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate two-digit or three-digit answer code(s), separated by a semi-colon, in the template.</p> <p> <input type="checkbox"/> Medical (77) <input type="checkbox"/> Surgical (78) <input type="checkbox"/> Dental (79) <input type="checkbox"/> Mental Health (80) <input type="checkbox"/> Physical Medicine/ Rehabilitation (81) <input type="checkbox"/> Substance Use Disorder Treatment (82) <input type="checkbox"/> Radiology (85) <input type="checkbox"/> None of the Above (86) </p> | If the user selected “Acute Hospital – Satellite,” “Clinic – Main Site,” or “Clinic – Satellite,” in RPO-84 | Checkbox, Multiple Answers |
| RPO-87A | Inpatient Beds (Hospital Satellites) | <p>Does this Facility have staffed inpatient beds?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | If the user selected “Acute Hospital – Satellite” or “Non-Acute Hospital – Satellite” in RPO-84 | Checkbox, Single Answer |

Facilities File

| | Name | Instructions | Required | Format |
|----------------|-------------------------------|---|---|-------------------------|
| RPO-87B | Emergency Services | <p>Does this Facility have an emergency department or is this Facility a Satellite Emergency Facility? Please see 105 CMR 130: Hospital Licensure for relevant definitions.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>If the user selected “Acute Hospital – Main Site,” “Acute Hospital – Satellite,” “Clinic – Main Site,” or “Clinic – Satellite” in RPO-84</p> | Checkbox, Single Answer |
| RPO-87C | Adult Trauma Center Level | <p>Select the Facility’s designated Trauma Center Level for adult patients.</p> <p><input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> Not Designated</p> | <p>If the user selected “Yes” in RPO-87B</p> | Checkbox, Single Answer |
| RPO-87D | Pediatric Trauma Center Level | <p>Select the Facility’s designated Trauma Center Level for pediatric patients.</p> <p><input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> Not Designated</p> | <p>If the user selected “Yes” in RPO-87B</p> | Checkbox, Single Answer |

Facilities File

| | Name | Instructions | Required | Format |
|---------------|------------------------------------|---|--|----------------------------|
| RPO-89 | Facility Type – Non-Acute Hospital | <p>Select the option that best describes the Facility type. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate three-digit answer code(s), separated by a semi-colon, in the template.</p> <p> <input type="checkbox"/> Long-Term Acute Care Hospital (120) <input type="checkbox"/> Psychiatric Hospital (121) <input type="checkbox"/> Rehabilitation Hospital (122) <input type="checkbox"/> Other (123) </p> | If the user selected “Non-Acute Hospital – Main Site,” or “Non-Acute Hospital – Satellite” in RPO-84 | Checkbox, Multiple Answers |

Notes to the Facilities File

RPO-86: Provider-Based Status

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Please see federal regulation [42 CFR 413.65](#), Requirements for a Determination that a Facility or an Organization has Provider-Based Status, for relevant definitions.

F. Physician Roster File

The table below includes the data elements that the Provider Organization will provide about physicians on whose behalf at least one of its Corporately Affiliated Contracting Entities establishes at least one contract with Payers or TPAs. A separate physician roster must be submitted for each Corporately Affiliated Contracting Entity. This requirement is meant to ensure that Provider Organizations with multiple Corporately Affiliated Contracting Entities that establish contracts on behalf of distinct groups of physicians can attribute physicians to the proper Contracting Entity. However, the MA-RPO Program recognizes that a Provider Organization may have multiple Corporately Affiliated Contracting Entities that establish contracts on behalf of the same group of physicians. Such Provider Organizations may, at the discretion of the MA-RPO Program, be permitted to fulfill the physician roster requirement for one or more Corporately Affiliated Contracting Entities through the physician roster of another Corporately Affiliated Contracting Entity. Organizations wishing to pursue this option are required to attend a one-on-one meeting with the MA-RPO Program to discuss their contracting structure.

The roster shall include all physicians on whose behalf the Corporately Affiliated Contracting Entity establishes contracts, even if the physician is not explicitly named in the contract with a Payer or TPA. **Provider Organizations must report all physicians on whose behalf they establish at least one contract regardless of whether the physician's practice has been reported in the Contracting Affiliations file.** The roster shall not include physicians on whose behalf the Corporately Affiliated Contracting Entity does not establish contracts even if they have admitting privileges at a corporately-affiliated hospital or moonlight at the hospital.

The roster is only required to include information about physicians. Nurse practitioners, physician assistants, and other Health Care Professionals are not required to be reported in the 2024 filing.

Differentiating Between Practice Sites, Medical Groups, and Local Practice Groups

The Physician Roster file contains data elements regarding a physician's practice site(s), medical group, and Local Practice Group(s). The MA-RPO Program recognizes that not all Provider Organizations will have distinct practice sites, medical groups, and Local Practice Groups.

- A **practice site** is the physical location where the physician is providing direct patient care services. This site may or may not be owned by the physician's medical group. Each physician must have a primary practice site listed in the Physician Roster. If the physician provides direct patient care services at more than one physical location, the Provider Organization must enter the physician's secondary practice site as well.
- A **medical group** is the solo or group practice with which the physician is associated. Large medical groups may have multiple practice sites, whereas small medical groups may only have one practice site. The medical group may have the same NPIs as each of its practice sites. Some physicians (e.g., hospitalists) may not be members of a medical group.
- A **Local Practice Group** is a group of Health Care Professionals that function as a subgroup of the Provider Organization (i.e., groups broken out from the larger Provider Organization for purposes of data reporting and market comparisons). Other commonly used names for Local Practice Groups include Regional Service Organizations, Local Care Organizations, or Local Care Units. Local Practice Groups often include physicians

from multiple medical groups who practice in the same region, or who are affiliated with the same hospital. A Local Practice Group may or may not be a separate legal Entity. Not all Provider Organizations will have Local Practice Groups. If the Provider Organization does not organize its Health Care Professionals into Local Practice Groups, leave RPO-121 through RPO-126 blank. The MA-RPO Program strongly recommends scheduling a one-on-one meeting with program staff to discuss which groups, if any, should be considered Local Practice Groups for your organization.

The table below states that the secondary practice site, medical group, and Local Practice Group fields are not required. These fields have been marked as not required because not every physician will have a secondary site of practice, medical group, or Local Practice Group. However, if a physician does have a secondary site of practice, a medical group, or a Local Practice Group, the Provider Organization is required to complete these questions.

Abbreviated Filing Special Instructions: A Provider Organization that has received approval to submit an abbreviated filing is not required to provide a physician roster.

2024 Updates: None.

How to Update: The Provider Organization will complete the Physician Roster file by completing an MA-RPO-issued Microsoft Excel template with the relevant information and uploading the template as a file attachment in the online submission platform. The data in the Physician Roster will not be editable from within the online submission platform. If the Provider Organization needs to make an edit to the Physician Roster information, the primary reporter must make the edit within the Microsoft Excel template and upload the revised version to the online submission platform.

Timing: The 2024 Physician Roster must include all physicians **participating in at least one contract on January 1, 2024** that was established by the Corporately Affiliated Contracting Entity.

Out-of-State Reporting: Provider Organizations are required to submit a physician roster for each of its Contracting Entities reported in the Contracting Entity file. The Provider Organization must report physicians who either: a) have a site of practice in Massachusetts; or b) have an active Massachusetts license.

| Physician Roster File | | | | |
|-----------------------|----------------------|-----------------------------------|----------|--------|
| | Name | Instructions | Required | Format |
| RPO-90 | Physician Last Name | Enter the physician's last name. | Yes | Text |
| RPO-91 | Physician First Name | Enter the physician's first name. | Yes | Text |

| Physician Roster File | | | | |
|-----------------------|------------------------------|---|----------|-------------------------|
| | Name | Instructions | Required | Format |
| RPO-92 | Physician Middle Initial | Enter the physician's middle initial. | No | Text |
| RPO-93 | Physician NPI | Enter the physician's individual NPI issued by the Centers for Medicare & Medicaid Services (CMS). | Yes | Integer |
| RPO-93A | Physician License Number | Enter the physician's license number issued by the Massachusetts Board of Registration in Medicine. | Yes | Integer |
| RPO-94 | Physician Specialty 1 | Enter the physician's specialty. (See Note RPO-94 on page 62) | Yes | Text |
| RPO-95 | Physician Specialty 2 | Enter the physician's second specialty. If the physician does not have a second specialty, leave this field blank. | No | Text |
| RPO-96 | Primary Care Provider Status | <p>Indicate whether the physician is a primary care provider, a specialist, or both. (See Note RPO-96 on page 62)</p> <p><input type="checkbox"/> Primary care provider <input type="checkbox"/> Specialist <input type="checkbox"/> Both</p> | Yes | Checkbox, Single Answer |
| RPO-97 | Pediatrician Status | <p>Is the physician a pediatrician? (See Note RPO-97 on page 62)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | Yes | Checkbox, Single Answer |
| RPO-98 | Hospitalist Status | <p>Is the physician a hospitalist? (See Note RPO-98 on page 62)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | Yes | Checkbox, Single Answer |
| RPO-99 | Employed Status | <p>Is the physician employed by the Provider Organization named in RPO-01 or one of its corporate affiliates?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | Yes | Checkbox, Single Answer |

| Physician Roster File | | | | |
|-----------------------|---|--|----------|------------------------------|
| | Name | Instructions | Required | Format |
| RPO-100 | Primary Site of Practice Name | Enter the name of the primary medical office, site, or Facility where the physician provides care. (See Note RPO-100 on page 62) | Yes | Text |
| RPO-102 | Primary Site of Practice NPI(s) | Enter each organizational NPI associated with the primary medical office, site, or Facility where the physician provides care. (See Note RPO-102 on page 63) | No | Integer; Repeat as necessary |
| RPO-103 | Primary Site of Practice Address Line 1 | Enter Line 1 of the address for the primary site where the physician provides care. | Yes | Text |
| RPO-104 | Primary Site of Practice Address Line 2 | Enter Line 2 of the address for the primary site where the physician provides care. | No | Text |
| RPO-105 | Primary Site of Practice Address Line 3 | Enter Line 3 of the address for the primary site where the physician provides care. | No | Text |
| RPO-106 | Primary Site of Practice City | Enter the city of the primary site where the physician provides care. | Yes | Text |
| RPO-107 | Primary Site of Practice State | Enter the state of the primary site where the physician provides care. | Yes | Integer |
| RPO-108 | Primary Site of Practice Zip Code | Enter the 5-digit zip code of the primary site where the physician provides care. | Yes | Text |
| RPO-109 | Secondary Site of Practice Name | If the physician provides care at a second medical office, site, or Facility, enter the name of that office, site, or Facility. | No | Text |
| RPO-111 | Secondary Site of Practice NPI(s) | Enter each organizational NPI associated with the secondary medical office, site, or Facility where the physician provides care. (See Note RPO-111 on page 63) | No | Integer; Repeat as necessary |
| RPO-112 | Secondary Site of Practice Address Line 1 | Enter Line 1 of the address for the secondary site where the physician provides care, if any. | No | Text |

| Physician Roster File | | | | |
|-----------------------|---|--|----------|------------------------------|
| | Name | Instructions | Required | Format |
| RPO-113 | Secondary Site of Practice Address Line 2 | Enter Line 2 of the address for the secondary site where the physician provides care, if any. | No | Text |
| RPO-114 | Secondary Site of Practice Address Line 3 | Enter Line 3 of the address for the secondary site where the physician provides care, if any. | No | Text |
| RPO-115 | Secondary Site of Practice City | Enter the city of the secondary site where the physician provides care, if any. | No | Text |
| RPO-116 | Secondary Site of Practice State | Enter the state of the secondary site where the physician provides care, if any. | No | Text |
| RPO-117 | Secondary Site of Practice Zip Code | Enter the 5-digit zip code of the secondary site where the physician provides care, if any. | No | Integer |
| RPO-118 | Medical Group 1 Name | Enter the name of the medical group with which the physician is affiliated. (See Note RPO-118 on page 62) | No | Text |
| RPO-120 | Medical Group 1 NPI(s) | Enter each organizational NPI associated with the medical group. (See Note RPO-120 on page 63) | No | Integer; Repeat as necessary |
| RPO-120A | Medical Group 2 Name | Enter the name of the second medical group with which the physician is affiliated, if any. | No | Text |
| RPO-120B | Medical Group 2 NPI(s) | Enter each organizational NPI associated with medical group named in RPO-120A. (See Note RPO-120B on page 63) | No | Integer; Repeat as necessary |
| RPO-121 | Local Practice Group 1 Name | Enter the name of the Local Practice Group of which the physician is a member, if any. (See Note RPO-121 on page 63) | No | Text |
| RPO-123 | Local Practice Group 1 NPI(s) | Enter each organizational NPI associated with the Local Practice Group named in RPO-121, if any. (See Note RPO-123 on page 63) | No | Integer; Repeat as necessary |

| Physician Roster File | | | | |
|-----------------------|----------------------------------|--|----------|------------------------------------|
| | Name | Instructions | Required | Format |
| RPO-124 | Local Practice Group 2 Name | Enter the name of the second Local Practice Group of which the physician is a member, if any. | No | Text |
| RPO-126 | Local Practice Group 2 NPI(s) | Enter each organizational NPI associated with the Local Practice Group named in RPO-124, if any. (See Note RPO-126 on page 63) | No | Integer; Repeat as necessary |

Notes to the Physician Roster File

RPO-94: Physician Specialty 1

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The Provider Organization must report each physician's specialty. The Provider Organization may choose to use an established taxonomy, such as the AMA physician specialty groups or the CMS physician specialty codes, or it can use its internal classification system. To the extent possible, the Provider Organization should report the specialty that the physician practices in, rather than the specialty the physician was trained in, if there is a difference between the two specialties.

RPO-96: Primary Care Provider Status

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In RPO-96, the Provider Organization must indicate whether the physician is a primary care provider, a specialist, or both. The MA-RPO Program is not providing a minimum number of hours per week or minimum percentage of clinical hours that the Provider Organization must use to make this determination. Physicians that hold themselves out as primary care providers or that spend a significant amount of their clinical time providing primary care services are considered primary care providers for the purposes of the Physician Roster file.

RPO-97: Pediatrician Status

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In RPO-97, the Provider Organization must indicate whether the physician is a pediatrician. The MA-RPO Program is not providing a minimum number of hours per week or minimum percentage of clinical hours that the Provider Organization must use to make this determination. Physicians, including both primary care providers and specialists, that hold themselves out as pediatricians or that spend a significant amount of their clinical time seeing pediatric patients are considered pediatricians for the purposes of the Physician Roster file.

RPO-98: Hospitalist Status

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In RPO-98, the Provider Organization must indicate whether the physician is a hospitalist. The MA-RPO Program is not providing a minimum number of hours per week or minimum percentage of clinical hours that the Provider Organization must use to make this determination. Physicians that hold themselves out as hospitalists or that spend a significant amount of their clinical time providing comprehensive medical care to hospitalized patients are considered hospitalists for the purposes of the Physician Roster file.

RPO-100: Primary Site of Practice Name

RPO-118: Medical Group 1 Name

RPO-121: Local Practice Group 1 Name

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[Back](#) to Data Element RPO-118 – page 60

[Back](#) to Data Element RPO-121 – page 60

The MA-RPO Program recognizes that not all Provider Organizations will have distinct practice sites, medical groups, and Local Practice Groups.

- A **practice site** is the physical location where the physician is providing direct patient care services. This site may or may not be owned by the physician's medical group. Each physician must have a primary practice site listed in the Physician Roster. If the physician provides direct patient care services at more than one physical location, the Provider Organization must enter the physician's secondary practice site as well.
- A **medical group** is the solo or group practice with which the physician is associated. Large medical groups may have multiple practice sites, whereas small medical groups may only have one practice site. The medical group may have the same NPIs as each of its practice sites. Some physicians (e.g., hospitalists) may not be members of a medical group.
- A **Local Practice Group** is a group of Health Care Professionals that function as a subgroup of the Provider Organization (i.e., groups broken out from the larger Provider Organization for purposes of data reporting and market comparisons). Other commonly used names for Local Practice Groups include Regional Service Organizations, Local Care Organizations, or Local Care Units. Local Practice Groups often include physicians from multiple medical groups who practice in the same region, or who are affiliated with the same hospital. A Local Practice Group may or may not be a separate legal Entity. Not all Provider Organizations will have Local Practice Groups. If the Provider Organization does not organize its Health Care Professionals into Local Practice Groups, leave RPO-121 through RPO-126 blank. The MA-RPO Program strongly recommends scheduling a one-on-one meeting with program staff to discuss which groups, if any, should be considered Local Practice Groups for your organization.

RPO-102: Primary Site of Practice NPI(s)

RPO-111: Secondary Site of Practice NPI(s)

RPO-120: Medical Group 1 NPI(s)

RPO-120B: Medical Group 2 NPI(s)

RPO-123: Local Practice Group 1 NPI(s)

RPO-126: Local Practice Group 2 NPI(s)

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[Back](#) to Data Element RPO-120 – page 60

[Back](#) to Data Element RPO-123 – page 60

[Back](#) to Data Element RPO-126 – page 61

For each practice site, medical group, and Local Practice Group, the Provider Organization must report each organizational provider NPI associated with the Entity. This field can be repeated as many times as necessary. Please note that the individual provider NPIs of the physicians employed by or practicing at the practice site, medical group, or Local Practice Group should not be included in this list.

G. Clinical Affiliations File

A Clinical Affiliation is defined as any relationship between a Provider or Provider Organization and another Entity for the purpose of increasing the level of collaboration in the provision of Health Care Services, including, but not limited to, sharing of physician resources in hospital or other ambulatory settings, co-branding, expedited transfers to Advanced Care Settings, provision of inpatient consultation coverage or call coverage, enhanced electronic access and communication, co-located services, provision of capital for service site development, Joint Training Programs, video technology to increase access to expert resources, and sharing of hospitalists or intensivists. As noted below, in the 2024 filing, Provider Organizations are only required to report a subset of these relationships.

For the purposes of the MA-RPO Program, Clinical Affiliations exist between organizations, not individuals. The Provider Organization is not required to report relationships that its individual physicians may have with other organizations. For example, if the Provider Organization employs a physician who has independently negotiated an agreement to work shifts at another hospital, the Provider Organization does not have to report a Clinical Affiliation with the hospital. Similarly, if the Provider Organization has entered into a physician staffing agreement with an individual physician, that Provider Organization does not have to report a Clinical Affiliation with that individual physician.

The MA-RPO Program seeks to collect information about the Provider Organization's relationships to other organizations that provide, or whose corporate affiliates provide, direct patient care services. The Provider Organization does not have to report relationships that exist exclusively between the Provider Organization and a drug maker, a device manufacturer, a Payer, or another Entity that does not provide direct patient care services.

The MA-RPO Program has narrowed the scope of reportable affiliations to minimize administrative burden and to focus on those relationships most likely to be strategic. A Clinical Affiliation must meet the reporting threshold described below before it is considered reportable for the 2024 filing. A relationship that is captured in the definition of a Clinical Affiliation (e.g., expedited transfers to Advanced Care Settings), but that does not meet the threshold below, does not have to be reported during the 2024 filing. If the Provider Organization does not have any Clinical Affiliations that meet the threshold below, the Provider Organization does not have to complete this file.

Reportable Clinical Affiliations Threshold:

1. The Clinical Affiliation must include at least one Entity with which the Provider Organization does not have a Corporate Affiliation; and
2. The Clinical Affiliation must include at least one of the Provider Organization's corporately-affiliated Acute Hospitals, or the employed physician group of such an Acute Hospital. If the Provider Organization is not corporately affiliated with an Acute Hospital, it is not required to report any Clinical Affiliations; and
3. The Clinical Affiliation must include at least one of the following types of relationships that has been memorialized in writing among the affiliates:

- a. Co-branding
- b. Co-located services
- c. Complete or substantial staffing of an Acute Hospital service line
- d. The provision of funds to establish or enhance EHR Interconnectivity
- e. Establishment of a preferred provider relationship
- f. Regular and ongoing receipt of telemedicine services from another Acute Hospital
- g. Establishment of a provider-to-provider discount arrangement

The remainder of this section describes each of the seven types of Clinical Affiliations described above and states which party(ies) to the Clinical Affiliation is (are) required to report the relationship to the MA-RPO Program.

A. Co-branding

A co-branding relationship is reportable when an Acute Hospital, or its employed physician group, and another Entity have decided to publicize their partnership to the public. Examples of co-branding include, but are not limited to, advertisements (TV, radio, internet, billboards), signage, brochures, letterhead, and web pages. A sign listing the occupants of a medical office building does not, on its own, constitute co-branding.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital or the employed physician group of an Acute Hospital whose brand is being used is required to report a co-branding Clinical Affiliation. As such, if co-branding is occurring between two Acute Hospitals, both Provider Organizations that are corporately affiliated with the Acute Hospitals must report the Clinical Affiliation. By contrast, if the co-branding is occurring between an Acute Hospital and a physician practice, or another Entity that is not an Acute Hospital, only the Provider Organization that is corporately affiliated with the Acute Hospital is required to report the Clinical Affiliation.

B. Co-located services

The Provider Organization must report co-located services when another Entity operates a site to provide Health Care Services in, or on the Campus of, the Provider Organization's corporately-affiliated Acute Hospital, whether at the Acute Hospital's main site or at a satellite location that is also operating under the Acute Hospital's license. To constitute co-located services, the Entity's site must be fixed in that location and it must be providing direct patient care services at that site on at least a weekly basis. A diagnostic laboratory or imaging center operating in, or on the Campus of, an Acute Hospital would constitute co-located services. However, staffing at one Acute Hospital by the employed physician of another Acute Hospital does not, on its own, constitute co-location.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital where the co-location is occurring is required to report Clinical Affiliations involving co-located services. The Provider Organization that establishes a site in, or on the Campus of, an Acute Hospital with which it is not corporately affiliated does not have to report the Clinical Affiliation.

C. Complete or substantial physician staffing of an Acute Hospital service line

The Provider Organization must report the sharing of physician resources when an Entity with which it is not corporately affiliated is providing complete or substantial staffing of an Acute Hospital inpatient or outpatient service line, either at the main site or a satellite site of the Acute Hospital. Note that service lines are defined at a relatively broad level (e.g., pediatrics, cardiology, hospital medicine, intensive care medicine); staffing of sub-specialties exclusively (e.g., pediatric oncology, electrophysiology, etc.) do not themselves constitute a reportable Clinical Affiliation for the purposes of the 2024 filing. Accordingly, the MA-RPO Program would expect substantial staffing of an Acute Hospital's pediatric service line to trigger reporting of the Clinical Affiliation, but would not expect substantial staffing of an Acute Hospital's pediatric oncology service, on its own, to trigger reporting of the Clinical Affiliation, even if the pediatric oncology service was staffed entirely by another Entity. Examples of complete or substantial physician staffing of an Acute Hospital service line include, but are not limited to: a) a group of pathologists that provide nearly all of the pathology services for an Acute Hospital; b) an Acute Hospital that contracts with a private anesthesiology group to be the primary provider of anesthesia services in the hospital; c) a group of surgeons that perform a substantial number of the surgical cases performed at an Acute Hospital; and d) the employed physicians of one Acute Hospital providing a substantial amount of the oncology services at another Acute Hospital.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital whose service line is being staffed is required to report Clinical Affiliations involving complete or substantial physician staffing of an Acute Hospital service line. The Entity that is providing complete or substantial staffing of an Acute Hospital service line does not have to report this relationship.

D. The provision of funds to establish or enhance EHR Interconnectivity

If the Provider Organization has provided funds to, or received funds from, an Entity with which it is not corporately affiliated for the purpose of establishing or enhancing EHR Interconnectivity between the Entity and at least one of the Provider Organization's Acute Hospitals, the affiliation must be reported.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital with which EHR Interconnectivity is being established or enhanced is required to report this type of Clinical Affiliation. As such, if EHR Interconnectivity is being established or enhanced between two Acute Hospitals and funds are being exchanged, both Provider Organizations that have Corporate Affiliations with the Acute Hospitals must report the Clinical Affiliation.

E. Establishment of a preferred provider relationship

The Provider Organization must report any relationships memorialized in writing among the affiliates that establish one of the Provider Organization's corporately-affiliated Acute Hospitals or the employed physician group of such Acute Hospital as a preferred provider of emergency, tertiary, or specialty care for the patients of an Entity with which the Provider Organization is not corporately affiliated. In the 2024 filing, the MA-RPO Program is limiting reportable preferred provider relationships for specialty care to the service lines of cardiology, obstetrics/gynecology, oncology, orthopedics, and pediatrics.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital or employed physician group that has been designated the preferred provider of care is required to report this type of Clinical Affiliation. The Provider Organization that has designated another Acute Hospital as its preferred provider of emergency, tertiary, or specialty care does not have to report this relationship.

F. Regular and ongoing receipt of telemedicine services from another Acute Hospital

If the Provider Organization's corporately-affiliated Acute Hospital receives regular, ongoing telemedicine services from another Acute Hospital, or its employed physician group, the Provider Organization must report a Clinical Affiliation.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital that is receiving telemedicine services (e.g., is seeking consultation, diagnosis, or advice) is required to report this type of Clinical Affiliation. The Provider Organization that is providing telemedicine services does not have to report this relationship.

G. Establishment of a provider-to-provider discount arrangement

If one of the Provider Organization's corporately-affiliated Acute Hospitals, or the employed physician group of such Acute Hospital, has entered into an agreement wherein the Acute Hospital, or the employed physician group of such Acute Hospital, furnishes a discount, rebate, or any other type of refund or remuneration in exchange for, or in any way related to, the provision of Health Care Services to patients of another Provider or Provider Organization (hereinafter referred to as a Discount Arrangement), then the Provider Organization must report the arrangement as a Clinical Affiliation. Reportable Discount Arrangements refer to those furnished by an Acute Hospital, or the employed physician group of such Acute Hospital, to another Provider or Provider Organization. For example, if Acute Hospital X provides a discount on the rate it has negotiated with a payer for certain services provided to patients of Physician Group Y that have been referred to Acute Hospital X, then the Provider Organization corporately affiliated with Acute Hospital X will report the relationship with Physician Group Y. Discount Arrangements do not include relationships with payers (e.g., an Acute Hospital's agreement to a certain discount off of its charges as part of a payer contract), drug makers, or device manufacturers.

Reporting Requirements: Only the Provider Organization that is corporately affiliated with the Acute Hospital that is furnishing the discount is required to report this type of Clinical Affiliation. A Provider Organization that is receiving a discount does not have to report this relationship.

| Summary of the Clinical Affiliations Reporting Requirement by Type of Affiliation | |
|---|--|
| Type of Affiliation | Reporting Requirement |
| Co-branding | Reported by each Provider Organization that is corporately affiliated with an Acute Hospital (or the employed physician group of an Acute Hospital) whose brand is being used. |
| Co-located services | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital where the co-location occurs. |
| Complete or substantial staffing of an Acute Hospital service line | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital whose service line is being staffed. |
| The provision of funds to establish or enhance EHR Interconnectivity | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital with which EHR Interconnectivity is being established or enhanced. |
| Establishment of a preferred provider relationship | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital (or the employed physician group of the Acute Hospital) that has been designated as the preferred provider. |
| Regular and ongoing receipt of telemedicine services from another Acute Hospital | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital that is receiving telemedicine services. |
| Establishment of a provider-to-provider discount arrangement | Reported by the Provider Organization that is corporately affiliated with the Acute Hospital (or the employed physician group of an Acute Hospital) that is furnishing a discount. |

2024 Updates: None.

How to Update: The Clinical Affiliations file will be prepopulated with the data provided by the Provider Organization in the previous filing. Provider Organizations may edit listed Clinical Affiliations data directly in the online submission platform.

Timing: The Clinical Affiliations file must reflect all of the Provider Organization's Clinical Affiliations as of January 1, 2024.

Out-of-State Reporting: Provider Organizations are required to report each clinical affiliate, including those located out-of-state, of its corporately-affiliated Acute Hospitals that are located in Massachusetts.

| Clinical Affiliations File | | | | |
|----------------------------|----------------------------------|--|----------|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-127 | Legal Name of Clinical Affiliate | Enter the legal name of the clinical affiliate. | Yes | Text |
| RPO-128 | Clinical Affiliate EIN | Enter the 9-digit Employer Identification Number (EIN) under which the clinical affiliate operates. | Yes | Integer |
| RPO-129 | Participating Acute Hospitals | Enter the legal name of each Acute Hospital or employed physician group of the Acute Hospital that is corporately affiliated with the Provider Organization named in RPO-01 that is participating in this affiliation. | Yes | Text; Repeat as Necessary |
| RPO-130 | Clinical Affiliation Type(s) | <p>Select each type of affiliation that characterizes the relationship. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate three-digit answer code(s), separated by a semi-colon, in the template.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Co-branding <input type="checkbox"/> Co-located services <input type="checkbox"/> Complete or substantial physician staffing of an Acute Hospital service line <input type="checkbox"/> Provision of funds to establish or enhance EHR interconnectivity <input type="checkbox"/> Establishment of a preferred provider relationship <input type="checkbox"/> Regular and ongoing receipt of telemedicine services from another Acute Hospital <input type="checkbox"/> Establishment of a provider-to-provider discount arrangement | Yes | Checkbox, Multiple Answers |

| Clinical Affiliations File | | | | |
|----------------------------|--|--|--|----------------------------|
| | Name | Instructions | Required | Format |
| RPO-131 | Clinical Affiliation Start Date | <p>Select the date range that best describes when any Clinical Affiliation with this clinical affiliate began.</p> <p> <input type="checkbox"/> Before 2005 <input type="checkbox"/> 2005-2009 <input type="checkbox"/> 2010-2015 <input type="checkbox"/> 2016-2020 <input type="checkbox"/> 2021-present </p> | Yes | Checkbox, Single Answer |
| RPO-132 | Description of the Affiliation | Briefly describe the nature, scope, and the scale of the relationship with this affiliate, including whether the affiliation encompasses features not described in RPO-130 and which service lines are encompassed by the affiliation. | Yes | Text |
| RPO-133 | Provision or Receipt of Capital for Service Site Development | <p>Select the answer that describes whether the Clinical Affiliation includes the provision or receipt of capital for service site development.</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No </p> | Yes | Checkbox, Single Answer |
| RPO-133A | Types of Patients | <p>Select the answer(s) that describes the types of patients for which the Acute Hospital, or employed physician group of the Acute Hospital, furnishes the discount. If you are using the MA-RPO-issued Microsoft Excel template to complete this question, enter the appropriate three-digit answer code(s), separated by a semi-colon, in the template.</p> <p> <input type="checkbox"/> Patients for whom the clinical affiliate is at risk <input type="checkbox"/> Patients for whom the clinical affiliate is not at risk </p> | If the user selected “Establishment of a provider-to-provider discount arrangement” in RPO-130 | Checkbox, Multiple Answers |

| Clinical Affiliations File | | | | |
|----------------------------|--|---|--|-------------------------|
| | Name | Instructions | Required | Format |
| RPO-133B | Discount Returned to Patient's Insurer | <p>Indicate if the written agreement specifies whether a portion of the discount is returned to the patient's insurer:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> | If the user selected "Establishment of a provider-to-provider discount arrangement" in RPO-130 | Checkbox, Single Answer |
| RPO-133C | Framework for Providing the Discount | <p>Select the answer that best describes the general framework for providing the discount:</p> <p><input type="checkbox"/> The discount is calculated as a percentage of facility and/or professional payments received for services provided to each eligible patient. <input type="checkbox"/> The discount is a fixed sum of money for services provided to each eligible patient (<i>The amount of the discount may vary by service provided</i>). <input type="checkbox"/> Other; Describe</p> | If the user selected "Establishment of a provider-to-provider discount arrangement" in RPO-130 | Checkbox, Single Answer |
| RPO-133D | Quality Metrics | <p>Select the answer that describes whether quality measures are incorporated into the provision of the discount:</p> <p><input type="checkbox"/> The amount or provision of the discount is contingent on meeting certain quality measures. <input type="checkbox"/> The amount or provision of the discount is not contingent on meeting certain quality measures.</p> | If the user selected "Establishment of a provider-to-provider discount arrangement" in RPO-130 | Checkbox, Single Answer |

H. Financial Statements File

The table below includes the data elements that the Provider Organization will provide about:

- The Provider Organization named in RPO-01, regarding the financial performance of the entire corporate system; and
- Each corporate affiliate that is a physician practice, as identified in RPO-53.

This standardized financial information represents cumulative, year-to-date data from the Entity's Balance Sheet and Statement of Operations. These statements must be prepared in accordance with Generally Accepted Accounting Principles (GAAP).

If a Provider Organization combines any physician practices as part of the consolidating information of its Audited Financial Statements, then it may complete a single Financial Statements file for these entities rather than completing a separate Financial Statements file for each Entity.

The Provider Organization will complete the Financial Statements file by completing an MA-RPO-issued Microsoft Excel template with the relevant information and uploading the template as a file attachment in the online submission platform. The data in the Financial Statements file will not be editable from within the online submission platform. If the Provider Organization needs to make an edit to the Financial Statements information, the primary reporter must make the edit within the Microsoft Excel template and upload the revised version to the online submission platform.

All values reported will be in whole dollar amounts. If the Provider Organization reports its Audited Financial Statements in the thousands value, the values reported in the Microsoft Excel template will be in the total amount. For example, if the organization reports 500,000 as the value in the thousands, it will be reported as 500,000,000 on this template to ensure comparability across submissions. Please enter any negative values in parenthesis, such as (500,000,000). The template has fields where the user can indicate any variance from the Audited Financial Statements as well as a text explanation for the variance, as necessary.

For each Entity, the Provider Organization must also upload the most recent Audited Financial Statements in a PDF format, unless its most recent Audited Financial Statements are already on file with, and available from, CHIA, DOI, or the AGO. If an organization does not prepare Audited Financial Statements, please contact MA-RPO Program staff at HPC-RPO@mass.gov.

Provider Organizations that have submitted annual standardized financial statements for the most recent fiscal year to CHIA for the Provider Organization and/or each corporately affiliated physician practice are not required to submit the information separately to the MA-RPO Program if those financial statements are available from CHIA.

2024 Updates: Consistent with updates CHIA made to its Hospital and Hospital Health System Financial Performance Data reporting requirements, the MA-RPO program has made the following updates:

- Updated data element RPO-180 from "Change in Interest in Net Assets" to "Unrealized Gains/Losses," and data element RPO-182 from "Equity Method of Alternative Investment" to "Other Non-Operating Revenue" to better capture information on non-operating revenue.
- Removed the COVID-19 relief funds schedule.

How to Update: The Provider Organization will complete the Financial Statements file by completing an MA-RPO-issued Microsoft Excel template with the relevant information and uploading the template as a file attachment in the online submission platform. The data in the Financial Statements file will not be editable from within the online submission platform. If the Provider Organization needs to make an edit to the Financial Statements file, the primary reporter must make the edit within the Microsoft Excel template and upload the revised version to the online submission platform.

Timing: On the date of submission, the Provider Organization must provide information on its most recently available fiscal year. Financial statements must be made available no later than 100 days after the Entity's fiscal year end.

Out-of-State Reporting: Provider Organizations are required to complete the Financial Statements file for the Provider Organization named in RPO-01 regarding the financial performance of the entire corporate system and any physician practice that meets the definition of a reportable corporate affiliate as outlined in the Corporate Affiliations file.

| Financial Statements File | | | | |
|---------------------------|--|--|----------|-------------------------|
| | Name | Instructions | Required | Format |
| RPO-138 | Legal Name(s) of Corporate Affiliate(s) For Which This Financial Statements File Will Be Completed | Enter the legal name(s) of the corporate affiliate(s) for which this Financial Statements file will be completed. | Yes | Text |
| RPO-139 | Audited Financial Statements Upload | <p>Select the option below that best describes how you are providing Audited Financial Statements for this Entity.</p> <p><input type="checkbox"/> I have uploaded the Audited Financial Statements for this Entity, which includes all notes to the Audited Financial Statements and Consolidating Schedules, on the File Attachments tab of the online submission platform.</p> <p><input type="checkbox"/> The Entity has filed its Audited Financial Statements for the corresponding time period with CHIA, DOI, or the AGO.</p> <p><input type="checkbox"/> Other; Describe.</p> | Yes | Checkbox, Single Answer |
| RPO-140 | Financial Statements Year | Enter the start and end dates of the fiscal year (mm/dd/yyyy – mm/dd/yyyy) for which you are completing the Financial Statements template. | Yes | Text |

| Balance Sheet | | | | |
|--------------------|---|--|-----|---------|
| ASSETS | | | | |
| Current Assets | | | | |
| RPO-141 | Cash and Cash Equivalents | Enter any short-term, highly-liquid investments (including note receivables) with a maturity of 3 months or less, excluding amounts whose use is limited by Board designation or other arrangements under trust agreements or with third-party payers. | Yes | Integer |
| RPO-142 | Short-Term Investments | Enter any investments in equity or fixed-income securities with a maturity of 3 to 12 months. | Yes | Integer |
| RPO-143 | Current Assets Whose Use is Limited | Enter any current portion of assets whose use is limited, as Board-designated, trustee-held, and other designations. | Yes | Integer |
| Receivables | | | | |
| RPO-144 | Net Patient Accounts Receivable | Enter any patient accounts receivable, less an allowance for uncollectible and contractual adjustments. | Yes | Integer |
| RPO-145 | Receivables Due from Affiliates | Enter any transferred funds (including loans, advance transfers, and equity contributions made) that are expected to be received from affiliated entities within the current accounting period. | Yes | Integer |
| RPO-146 | Third-Party Settlements | Enter any amounts reported as current that represent final settlements due to the Entity. | Yes | Integer |
| RPO-147 | Other Current Assets | Enter all other current assets not included in RPO-144 through RPO-146. | Yes | Integer |
| RPO-148 | Total Current Assets | Equals the sum of RPO-141 through RPO-147. <i>Formula (no entry).</i> | N/A | Integer |
| Non-Current Assets | | | | |
| RPO-149 | Non-Current Assets Whose Use is Limited | Enter any non-current portion of assets, whose use is limited, either identified as Board-designated, trustee-held, and other designations. | Yes | Integer |
| RPO-150 | Contribution Receivables | Enter any contributions, pledges, gifts, and bequests from donors that are not expected to be collected during the current period. | Yes | Integer |

| | | | | |
|---|--|---|-----|---------|
| RPO-151 | Interest in Net Assets | Enter any interest in net assets of a beneficiary organization if those entities have an on-going economic interest in one another. | Yes | Integer |
| RPO-152 | Investment in Affiliates | Enter any amounts recorded as equity investments in other entities, which are related to the Entity. | Yes | Integer |
| RPO-153 | Gross Property, Plant, and Equipment (PPE) | Enter the gross value of land, buildings, equipment, construction in progress, and capitalized leases. | Yes | Integer |
| RPO-154 | Less: Accumulated Depreciation | Enter any depreciation of PPE and amortization of capitalized leases. | Yes | Integer |
| RPO-155 | <i>Net PPE</i> | The net amount of land, buildings, equipment, construction in progress, and capitalized leases. Equals the difference of RPO-154 from RPO-153. <i>Formula (no entry)</i> . | N/A | Integer |
| RPO-156 | Other Non-Current Assets | Enter all other non-current assets. | Yes | Integer |
| RPO-157 | <i>Total Non-Current Assets</i> | Equals the sum of RPO-149 through RPO-152, and RPO-155 through RPO-156. <i>Formula (no entry)</i> . | N/A | Integer |
| RPO-158 | <i>Total Assets</i> | Equals the sum of RPO-148 and RPO-157. <i>Formula (no entry)</i> . | N/A | Integer |
| LIABILITIES AND NET ASSETS or EQUITY | | | | |
| Current Liabilities | | | | |
| RPO-159 | Current Long-Term Debt | Enter the current portion of long-term debt, capital leases, and notes payable. | Yes | Integer |
| RPO-160 | Estimated Third-Party Settlements | Enter any amounts received from third parties which may be in excess of allowable amounts and may therefore be paid back to third parties or else resolved favorably and recognized as revenue in the future. Also the current portion of deferred revenue. | Yes | Integer |
| RPO-161 | Current Liabilities Due to Affiliates | Enter any transferred funds (including loans, advances, transfers, and equity contributions received) that are expected to be paid or returned to affiliated entities within the current accounting period. | Yes | Integer |
| RPO-162 | Other Current Liabilities | Enter all other current liabilities. | Yes | Integer |

| | | | | |
|------------------------------|---|--|-----|---------|
| RPO-163 | <i>Total Current Liabilities</i> | Equals the sum of RPO-159 through RPO-162. <i>Formula (no entry).</i> | N/A | Integer |
| Long-Term Liabilities | | | | |
| RPO-164 | Long-Term Debt Net of Current Portion | Enter any long-term debt (does not include current portion), obligations under capital leases and notes payable. | Yes | Integer |
| RPO-165 | Non-Current Liabilities Due to Affiliates | Enter any transferred funds (including loans, advances, transfers, and equity contributions received) that are expected to be paid or returned to affiliated entities, beyond the current accounting cycle. | Yes | Integer |
| RPO-166 | Other Non-Current Liabilities | Enter all other non-current liabilities. | Yes | Integer |
| RPO-167 | <i>Total Non-Current Liabilities</i> | Equals the sum of RPO-164 through RPO-166. <i>Formula (no entry).</i> | N/A | Integer |
| RPO-168 | <i>Total Liabilities</i> | Equals the sum of RPO-163 and RPO-167. <i>Formula (no entry).</i> | N/A | Integer |
| Net Assets or Equity | | | | |
| RPO-169 | Net Unrestricted Assets | Enter the net assets that are neither permanently restricted nor temporarily restricted by donor imposed stipulations or Equity. | Yes | Integer |
| RPO-170 | Net Temporarily Restricted Assets | Enter the net assets resulting from (i) contributions and other assets whose use is limited by donor imposed stipulations that either expire with the passage of time or can be fulfilled and removed by actions pursuant to those stipulations, (ii) other assets enhancement and diminishments subject to same kind of stipulations, or (iii) reclassification to (or from) other classes of net assets as a consequence of donor-imposed stipulations, their fulfillment and removal by actions pursuant to those stipulations. | Yes | Integer |
| RPO-171 | Net Permanently Restricted Assets | Enter the net assets resulting from (i) contributions and other assets whose use is limited by donor imposed stipulations that neither expire with the passage of time nor can be fulfilled and removed by actions of the organization, (ii) other asset enhancements and diminishments subject to the same kind of stipulations, and (iii) reclassification to (or from) other classes of net assets as a consequence of donor-imposed stipulations. | Yes | Integer |

| | | | | |
|--------------------------------|--|---|-----|---------|
| RPO-172 | <i>Total Net Assets or Net Equity</i> | Equals the sum of RPO-169 through RPO-171. <i>Formula (no entry).</i> | N/A | Integer |
| RPO-173 | <i>Total Liabilities and Net Assets or Equity</i> | Equals the sum of RPO-168 and RPO-172. <i>Formula (no entry).</i> | N/A | Integer |
| Statement of Operations | | | | |
| Operating Revenue | | | | |
| RPO-174 | Net Patient Service Revenue | Enter the Net Patient Service Revenue received. This should be calculated as Gross Patient Service Revenue less contractual adjustments, less charity / free care charges, less courtesy or policy discounts and less the provision for bad debt. Amounts received from indigent patients and free care programs (Health Safety Net) should be included. Amounts received from capitation arrangements, less any medical expenses that are paid to other Providers or Provider Organizations for the care of patients covered by capitation contracts, should also be included. | Yes | Integer |
| RPO-175 | Other Operating Revenue | Enter any revenue from services other than health care provided to patients, as well as sales and services to non-patients. | Yes | Integer |
| RPO-175A | Other Operating Revenue: Federal COVID-19 Relief Funds | Enter the total COVID-19 relief funds received from federal sources reported as operating revenue. | Yes | Integer |
| RPO-175B | Other Operating Revenue: State & Other COVID-19 Relief Funds | Enter the total COVID-19 relief funds received from the state or other sources, such as private grants or contributions reported as operating revenue. | Yes | Integer |
| RPO-176 | Net Assets Released from Restrictions Used for Operations | Enter any net assets released from donor restrictions by incurring expenses and thus satisfying donor stipulations or by occurrence of other events or passage of a particular time period, specified by donor(s). | Yes | Integer |
| RPO-177 | <i>Total Operating Revenue</i> | Equals the sum of RPO-174 through RPO-176. <i>Formula (no entry).</i> | N/A | Integer |
| Non-Operating Revenue | | | | |

| | | | | |
|-----------------|---|---|-----|---------|
| RPO-178 | Investment Income | Enter all investment income (includes interest income, dividend income, and realized gains/losses from sale of investment actively traded, as well as interest income and dividend income on passive investments. | Yes | Integer |
| RPO-179 | Net Contribution Revenue | Enter any donation, gift, or bequest cash or other assets from a donor, and that are not revocable, repayable, or reciprocal. | Yes | Integer |
| RPO-180 | Unrealized Gains/Losses | Enter any change in value of investment(s) the entity has yet to realize by selling. | Yes | Integer |
| RPO-181 | Non-Operating Gains/Losses | Enter any gains and losses that result from a provider peripheral or incidental transaction. These may include (i) Subsidies received from governmental or community agencies, (ii) Net realized gains/losses resulting from increases and decreases in the value of “passive invests,” and (iii) Gains/losses on sale or disposal of assets. | Yes | Integer |
| RPO-182 | Other Non-Operating Revenue | Enter all other non-operating revenue not included in RPO-178 through RPO-181. | Yes | Integer |
| RPO-183 | <i>Total Non-Operating Revenue</i> | Equals the sum of RPO-178 through RPO-182. <i>Formula (no entry).</i> | N/A | Integer |
| RPO-184 | <i>Total Unrestricted Revenue, Gains, and Other Support</i> | Equals the sum of RPO-177 and RPO-183. <i>Formula (no entry).</i> | N/A | Integer |
| Expenses | | | | |
| RPO-185 | Salary and Benefit Expense | Enter in any salaries, wages, and cost of fringe benefits, such as paid vacations and contribution to pension funds. Salaries refer to amounts of compensation. Wages refer to the pay earned by employees at a certain rate per hour, day, or week. | Yes | Integer |
| RPO-186 | Depreciation and Amortization Expense | Depreciation is the allocation of the cost of tangible fixed assets. Amortization refers to allocation of cost of intangible assets (for example, periodic payments on capital leases). Enter any depreciation and amortization expenses. | Yes | Integer |

| | | | | |
|---|--|---|-----|---------|
| RPO-187 | Interest Expense | Enter any charges made for the use of money over a period of time. | Yes | Integer |
| RPO-188 | Health Safety Net Assessment | Enter any payments to the Health Safety Net. | Yes | Integer |
| RPO-189 | Other Operating Expenses | Enter all other expenses not reported in RPO-185 through RPO-188. | Yes | Integer |
| RPO-190 | Net Nonrecurring Gains or Losses | Enter amounts related to one-time/non-recurring or highly infrequent gains or losses. This category may include: gains/losses from the sale of land or lines of businesses, casualty, or natural disaster losses. | Yes | Integer |
| RPO-191 | <i>Total Expenses including Nonrecurring Gains/Losses</i> | Equals the sum of RPO-185 through RPO-190. <i>Formula (no entry).</i> | N/A | Integer |
| RPO-192 | <i>Total Excess of Revenue, Gains, and Other Support</i> | Equals the difference of RPO-191 from RPO-184. <i>Formula (no entry).</i> | N/A | Integer |
| Other Changes in Unrestricted Net Assets | | | | |
| RPO-193 | Transfers from (to) Parent/Affiliates | Enter any funds transferred from (to) parent/affiliates. | Yes | Integer |
| RPO-194 | Other Changes in Unrestricted Net Assets | Enter any changes in unrestricted net assets not reported in RPO-192 and RPO-193. | Yes | Integer |
| RPO-195 | <i>Total Increase or Decrease in Unrestricted Net Assets</i> | Equals the sum of RPO-192 through RPO-194. <i>Formula (no entry).</i> | N/A | Integer |
| RPO-196 | Changes in Unrestricted Assets Related to Pension Activities | Enter amounts related to Changes in Unrestricted Assets Related to Pension Activities other than the annual net periodic pension expense. | Yes | Integer |

| | | | | |
|----------------|--|---|-----|---------|
| RPO-197 | Changes in Accounting Principle/Other | Enter any adjustments from changes in accounting principle. | Yes | Integer |
| RPO-198 | <i>Total Increase or Decrease in Unrestricted Net Assets</i> | Equals the sum of RPO-195 through RPO-197. <i>Formula (no entry).</i> | N/A | Integer |

I. Payer Mix File

The Provider Organization will complete the Payer Mix file for each of its corporate affiliates that is a physician practice, as identified in RPO-53. The Provider Organization will report the practice's total Gross Patient Service Revenue (i.e., charges) in each of the categories listed in the table below for the most recently available fiscal year. All charges billed by the physician practice, regardless of the setting of care, should be reported. Additional information about the classification of products by payer category can be found in the MA-RPO-issued Microsoft Excel template and in an Excel document on [CHIA's website](#). The information about the classification of payers for these categories is consistent with the payer codes used for certain reporting requirements under 957 CMR 8.00, *APCD and Case Mix Data Submission* and 957 CMR 9.00, *Hospital Financial Data Reporting Requirements*.

The Provider Organization will complete the Payer Mix file by completing a MA-RPO-issued Microsoft Excel template with the relevant information and uploading the template as a file attachment in the online submission platform. All values reported will be in whole dollar amounts. The data in the Payer Mix file will not be editable from within the online submission platform. If the Provider Organization needs to edit the Payer Mix information, the primary reporter must make the edit within the Microsoft Excel template and upload the revised version to the online submission platform. Provider Organizations can provide explanatory notes and additional information at their discretion by uploading this information as a note on the File Attachments tab.

If a Provider Organization combines any physician practices as part of the consolidating information of its Audited Financial Statements and if these physician practices have a common chargemaster, the Provider Organization may provide a single file for these entities rather than completing a separate response for each entity.

2024 Updates: None

How to Update: The Provider Organization will complete the Payer Mix file by completing an MA-RPO-issued Microsoft Excel template with the relevant information and uploading the template as a file attachment in the online submission platform. The data in Payer Mix file will not be editable from within the online submission platform. If the Provider Organization needs to make an edit to the Payer Mix file, the primary reporter must make the edit within the Microsoft Excel template and upload the revised version to the online submission platform.

Timing: On the date of submission, the Provider Organization must provide information from the physician practice's most recently available fiscal year. Payer mix data must be made available no later than 100 days after the Entity's fiscal year end.

Out-of-State Reporting: Provider Organizations are required to complete this file for any physician practice that meets the definition of a reportable corporate affiliate as outlined in the Corporate Affiliations file on page 19.

| Payer Mix | | | | |
|-----------|------------------------|--|----------|---------|
| | Name | Instructions | Required | Format |
| RPO-199 | Commercial Managed | Enter the total charges for Commercial Managed products. | Yes | Integer |
| RPO-200 | Commercial Non-Managed | Enter the total charges for Commercial Non-Managed products. | Yes | Integer |
| RPO-201 | Medicare Managed | Enter the total charges for Medicare Managed products. | Yes | Integer |
| RPO-202 | Medicare Non-Managed | Enter the total charges for Medicare Non-Managed products. | Yes | Integer |
| RPO-203 | Medicaid Managed | Enter the total charges for Medicaid Managed products. | Yes | Integer |
| RPO-204 | Medicaid Non-Managed | Enter the total charges for Medicaid Non-Managed products. | Yes | Integer |
| RPO-205 | ConnectorCare | Enter the total charges for ConnectorCare products. | Yes | Integer |
| RPO-206 | Health Safety Net | Enter the total charges for the Health Safety Net. (See Note RPO-206 on page 85) | Yes | Integer |
| RPO-207 | Other Government | Enter the total charges for Other Government products. | Yes | Integer |
| RPO-208 | Other | Enter the total charges for Other products. | Yes | Integer |
| RPO-209 | Self-Pay | Enter the total charges for self-pay patients. | Yes | Integer |
| RPO-210 | Worker's Compensation | Enter the total charges for Worker's Compensation. | Yes | Integer |

| Payer Mix | | | | |
|-----------|----------------------|---|----------|---------|
| | Name | Instructions | Required | Format |
| RPO-211 | <i>Total Charges</i> | Equals the sum of RPO-264 through RPO-275. <i>Formula (no entry).</i> | N/A | Integer |

Notes to the Payer Mix File

RPO-206: Health Safety Net

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The MA-RPO Program understands that most physician practices do not bill the Health Safety Net. If that is the case for your organization, please enter 0 in the Health Safety Net row of the MA-RPO-issued Microsoft Excel template.

J. Attachments

Provider Organizations will use the File Attachments tab to upload certain files identified in the table below. Please include the name of the MA-RPO file (e.g., Physician Roster File) and the name of the corporate affiliate or Corporately Affiliated Contracting Entity (e.g., Example Medical Group) in the file attachment name.

| Attachments | | | |
|--|---|----------|-------------------------------------|
| Name | Instructions | Required | Format |
| Physician Roster File(s) | Upload completed Physician Roster files for each Corporately Affiliated Contracting Entity. See Section F: Physician Roster File on page 56 for additional information. The Physician Roster template is available on the program website. | No | MA-RPO Issued Microsoft Excel |
| Financial Statements File(s) | Upload completed Financial Statements files for the Provider Organization named in RPO-01 and each corporate affiliate that is a physician practice. See Section H: Financial Statements File on page 73 for additional information. The Financial Statements template is available on the program website. | Yes | MA-RPO Issued Microsoft Excel |
| Audited Financial Statements | Upload the Audited Financial Statements for the Provider Organization named in RPO-01 and each corporate affiliate that is a physician practice. Internal financial statements must be submitted if the Entity does not prepare Audited Financial Statements in the regular course of its business. | Yes | PDF |
| Payer Mix File(s) | Upload completed Payer Mix file(s) for each corporate affiliate that is a physician practice. See Section I: Payer Mix File on page 82 for additional information. The Payer Mix template is available on the program website. | No | MA-RPO Issued Microsoft Excel |
| Corporate Organizational Chart | Upload a corporate organizational chart. (See Note Corporate Organizational Chart on page 87) | Yes | PDF |
| Affidavit of Truthfulness and Proper Submission | Upload a completed version of the MA-RPO-issued Affidavit of Truthfulness and Proper Submission form, signed by two duly authorized representatives of the Provider Organization named in RPO-01. The Provider Organization <u>cannot</u> use the same Affidavit of Truthfulness and Proper Submission that it submitted in 2023 to complete this requirement. A new affidavit must be signed and uploaded. | Yes | PDF |
| Qualitative Description of Out-of-State Entities | Upload a brief qualitative description of out-of-state Facilities and physicians. (See Note Qualitative Description of Out-of-State Entities on page 88) | No | PDF |

Notes to the Attachments

Corporate Organizational Chart

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The Provider Organization must submit a full corporate organizational chart. The corporate organizational chart must adhere to the following guidelines:

1. The organizational chart must be updated to be accurate as of January 1, 2024.
2. The organizational chart must show all Entities (clinical or non-clinical) that are owned (wholly or partially) or controlled by the Provider Organization.
3. The organizational chart must depict the Provider Organization's parent company(ies), if any. For example, a wholly-owned physician organization will not depict a parent company; a large for-profit health care system with a corporate holding company as a parent will depict that Entity.
4. Each Entity must be depicted separately from each other Entity such that its relationship to other corporate Entities can be clearly understood.
5. If a subsidiary of the Provider Organization depicted on the chart has its own Corporate Affiliations, those relationships and organizations must also be depicted.
6. If an organization is unable to fully depict its affiliates on a single chart, separate charts may be submitted, provided that the relationship between all Entities is clear.
7. The organizational chart must depict the level of ownership or control of each subsidiary if the level is less than 100%. For example, where the Provider Organization has partial ownership or control in a joint venture (clinical or non-clinical), the percent ownership/control must be indicated.
8. The organizational chart must distinguish between clinical and non-clinical Entities. For example, clinical and non-clinical Entities may be differentiated using a separate color or shape.
9. The organizational chart must include a key or legend.
10. The organizational chart may be produced in any software (e.g., PowerPoint, Word, Visio), but must be submitted as a .PDF file.

Qualitative Description of Out-of-State Entities

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Provider Organizations must submit a brief qualitative description of out-of-state licensed facilities and physicians. For licensed facilities and physician groups located in New England and New York, the qualitative description must include, at a minimum, the name and location of each of these entities. The description must also include the number of licensed facilities and physicians located outside of New England and New York.

Provider Organizations with out-of-state entities might provide the following information, for example:

Example Health System owns the following entities in New England and New York:

- *Hospital A – General Acute Care Hospital – Manchester, New Hampshire*
- *Hospital B – Psychiatric Hospital – Portland, Maine*
- *Physician Group C – 100-physician multi-specialty practice – Albany, New York*

Example Hospital System also owns 20 acute hospitals and 10 rehabilitation hospitals located in Arizona, Colorado, and Texas, and establishes contracts on behalf of approximately 1,000 physicians outside of New England and New York.